



Making the Internet: A Longitudinal Analysis of the IETF

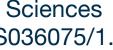
Stephen McQuistin University of Glasgow Mladen Karan Queen Mary University of London Prashant Khare Queen Mary University of London Colin Perkins University of Glasgow Gareth Tyson Queen Mary University of London Matthew Purver Queen Mary University of London Patrick Healey Queen Mary University of London Ignacio Castro Queen Mary University of London

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Engineering and Physical Sciences Research Council

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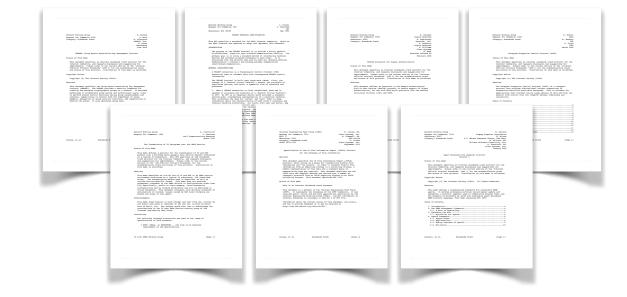
<pre>Status of This Memo This document specifies an Internet standards track proto Internet community, and requests discussion and suggestic improvements. Please refer to the current edition of the Official Protocol Standards" (STD 1) for the standardizat and status of this protocol. Distribution of this memo i Copyright Notice Copyright (C) The Internet Society (2006). Abstract This document specifies the Group Secure Association Key Protocol (GSAKMP). The GSAKMP provides a security framew creating and managing cryptographic groups on a network. mechanisms to disseminate group policy and authenticate u to perform access control decisions during group establis recovery, capabilities to recover from the compromise of </pre>	tocol for the ions for he "Internet ation state	bi-directional, eight-bit byt primary goal is to allow a st devices and terminal-oriented envisioned that the protocol	ocol is to provide a fairly general, oriented communications facility. Its ndard method of interfacing terminal processes to each other. It is ay also be used for terminal-terminal process-process communication				Deutsche Telekom AG		Datagram	
This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited. Copyright Notice Copyright (C) The Internet Society (2006).		 connection used to frainsmit d information. The TELNET Protocol is built concept of a "Network Virtual negotiated options; and third processes. When a TELNET connection assumed to originate and term or NVT. An NVT is an imagina network-wide, intermediate re This eliminates the need for information about the charact terminal handling conventions their local device characteri be dealing with an NVT over t similar mapping by the other overly 		Status of This Memo This document specifie Internet community, an improvements. Please : Official Protocol Stan and status of this pro Abstract This document defines : Dial-In User Service ()	<pre>d requests discussion and refer to the current edit: dards" (STD 1) for the sta tocol. Distribution of th an extension to the Remote RADIUS) protocol to enable e with HTP-style protocol</pre>	February 2008 Digest Authentication net standards track protocol for the discussion and suggestions for he current edition of the "Internet D 1) for the standardization state stribution of this memo is unlimited. on to the Remote Authentication otocol to enable support of Digest P-style protocols 1 like the Session		Status of This Memo This document speci Internet community, improvements. Plaa Official Protocol S and status of this Copyright Notice Copyright (C) The I Abstract The Datagram Conges protocol that provi congestion-controll applications that t benefit from contro reliability. Table of Contents 1. Introduction 2. Design Rationale		
Harney, et al. Standards Track	Network Working Group Request for Comments: 1209 The Transmission of IP Datagrams of Status of this Memo This memo defines a protocol for the tra as a logical IP subnetwork. This RFC ap track protocol for the Internet communit and suggestions for improvements. Pleas edition of the "IAB Official Protocol St standardization state and status of this this memo is unlimited. Abstract This memo describes an initial use of IP encode state and status of the subscript subsequent treatment of the SMDS Service logicality, public or inter-compa configurations may be treated different future documents. This document conside end-stations or routers; issues raised b beyond the scope of this paper. Acknowledgment This memo draws heavily in both concept Stato of Merit, Inc. The authors would a configured on Syce K. Reynolds of IST Katz of Merit, Inc. The authors would a continue in the ID oyce K. Meynolds of IST Katz of Merit, Inc. The authors would a continue in the ID oyce SMDS Service Internet Engineering Task Fore. Onventions	<pre>b. Piscitello J. Lawrence Bell Communications Research March 1991 ver the SMDS Service nsmission of IP and AP ta Service Network configured ecifies an IAB standards y, and requests discussion e refer to the current andards" for the protocol. Distribution of and ARP in an SMDS service ubnetwork, LIS (described s described, as well as emo does not preclude in configurations other than ny, inter-enterprise y and will be described in rs only directly connected IP y MAC level bridging are and text from [4], written by and [5], written by David lso like to acknowledge the e working group of the</pre>	for the i Abstract This document specifies protocol, which serves in information over the ne information from an Exp common representation o communicating them are IPFIX Data and Template transport protocols fro Collecting Process. Th Status of This Memo This is an Internet Stat This document is a prod (IETF). It represents received public review Internet Standards is a Information about the c	P Flow Information Ex Exchange of Flow Informat as a means for transm twork. In order to to orting Process to a C flow data and a sta required. This docum Records are carried m an IPFIX Exporting is document obsoletes ndards Track document uct of the Internet E the consensus of the and has been approve. vailable in Section 2 urrent status of this back on it may be obt	Cisco Systems, Inc. B. Trammell, Ed. B. Trammell, Ed. September 2013 Flow Information Export (IPFIX) a means for transmitting Traffic Flow ork. In order to transmit Traffic Flow attack and a standard means of puired. This document describes how the secords are carried over a number of an IFFIX Exporting Process to an IFFIX document obsoletes RFC 5101. ards Track document. t of the Internet Engineering Task Force a consensus of the IEFF community. It has dhas been approved for publication by the tring Group (IESG). Further information on llable in Section 2 of RFC 5741. rent status of this document, any errata, ko on it may be obtained at /info/rfc7011.		Status of this Memo This document specifies Internet community, and improvements. Please re Official Protocol Standa and status of this proto Copyright Notice Copyright (C) The Intern Abstract This memo defines a stan agents. It defines proc subagents, a protocol (A the elements of procedur SNMP protocol messages. Table of Contents 1. Introduction 2. The SNMP Management F 2.1. A Note on Termino 3. Extending the MIB 3.1. Motivation for Ag 4. AgentX Framework 4.2. Applicability 4.3. Design Features of	Comments: 2741 277 andards Track T.J. Watson Research Center, IBM Corp. M. Ellison, Ed. Ellison Software Consulting, Inc. D. Francisco. Ed. Cisco Systems, Inc. January 2000 Agent Extensibility (AgentX) Protocol Version 1 is Memo ment specifies an Internet standards track protocol for the community, and requests discussion and suggestions for nts. Please refer to the current edition of the "Internet Protocol Standards" (STD 1) for the standardization state s of this protocol. Distribution of this memo is unlimited. tice (C) The Internet Society (2000). All Rights Reserved. defines a standardized framework for extensible SNMP It defines processing entities called master agents and nts of procedure by which the extensible agent processes cool messages. This memo obsoletes RFC 2257. tents uction		nd Terminology

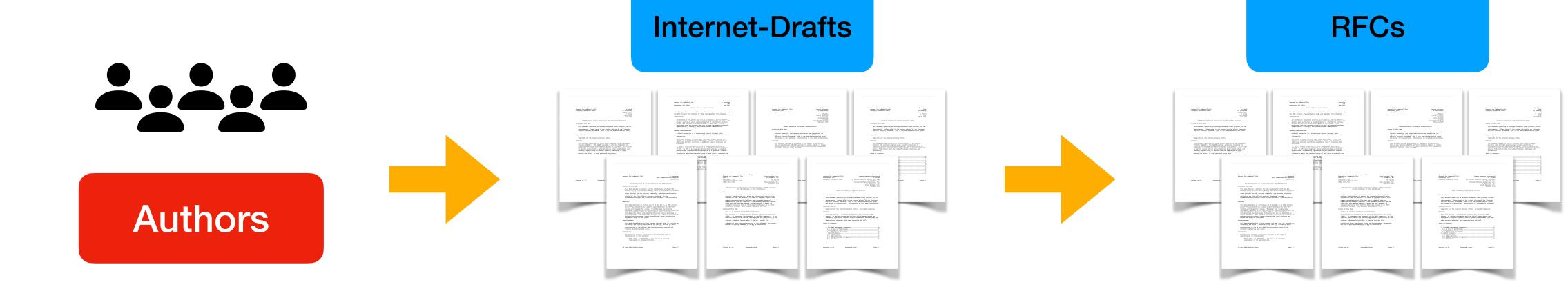
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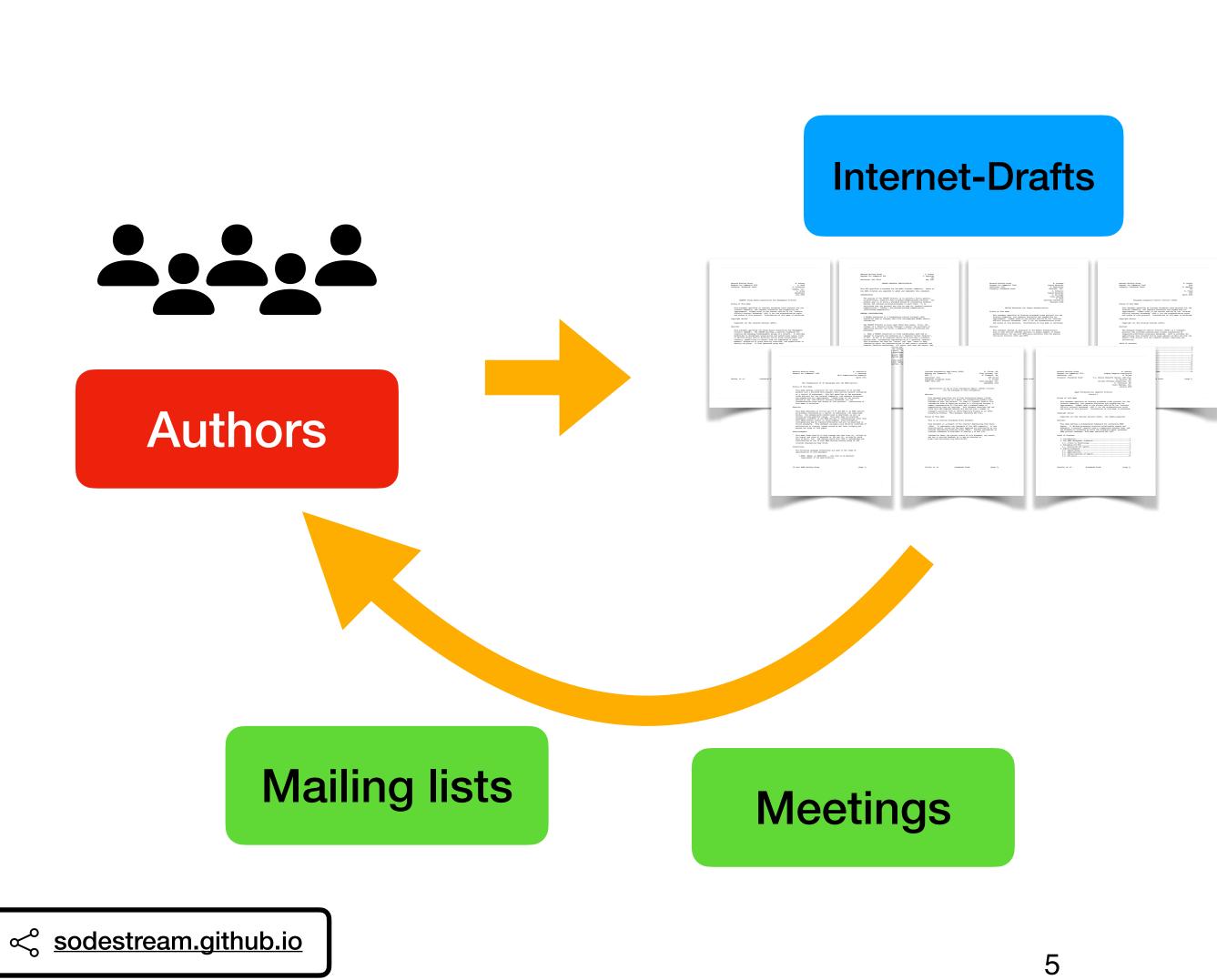


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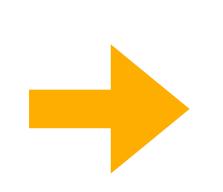
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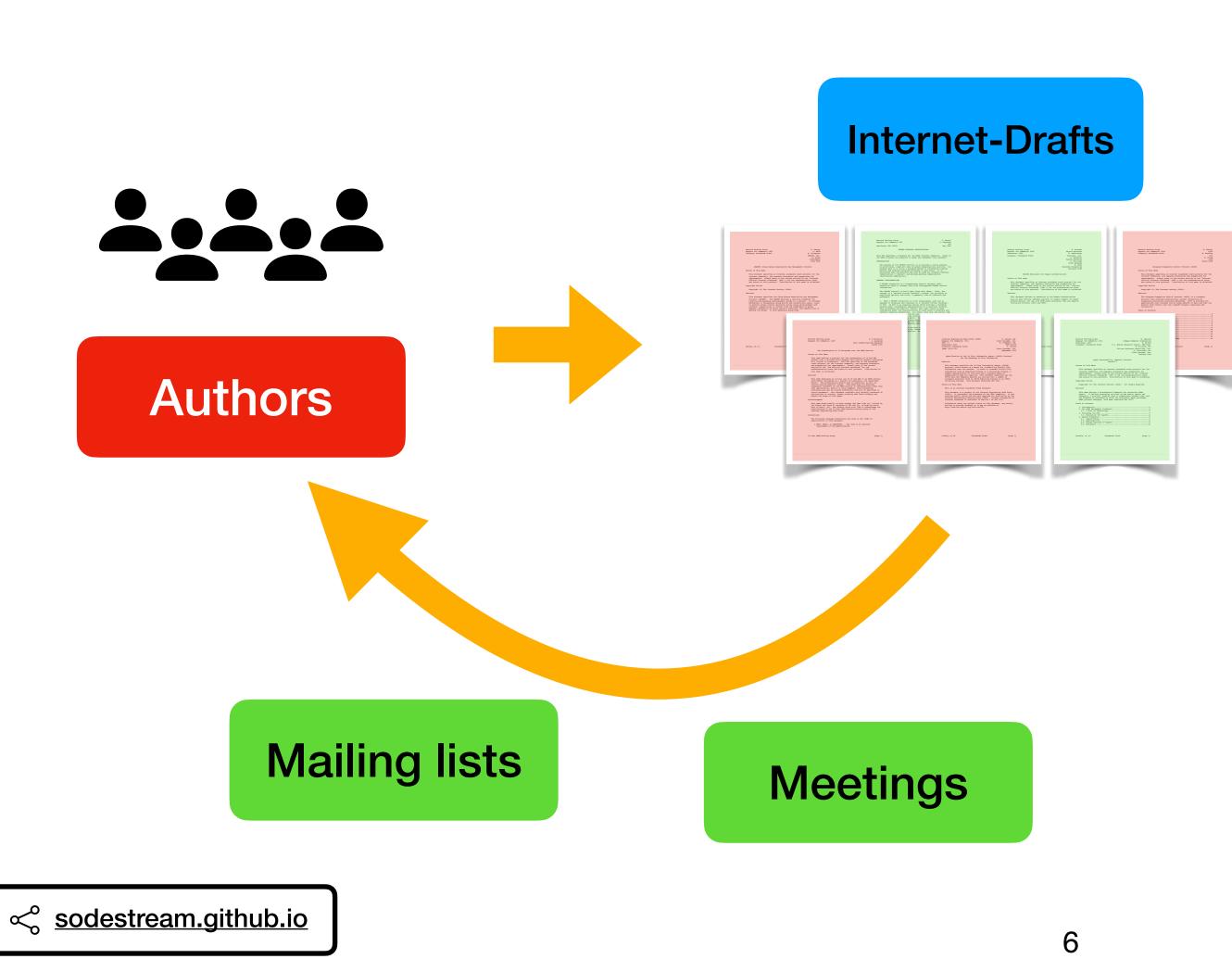


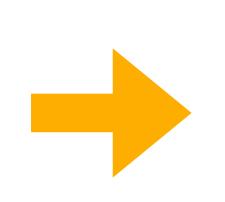






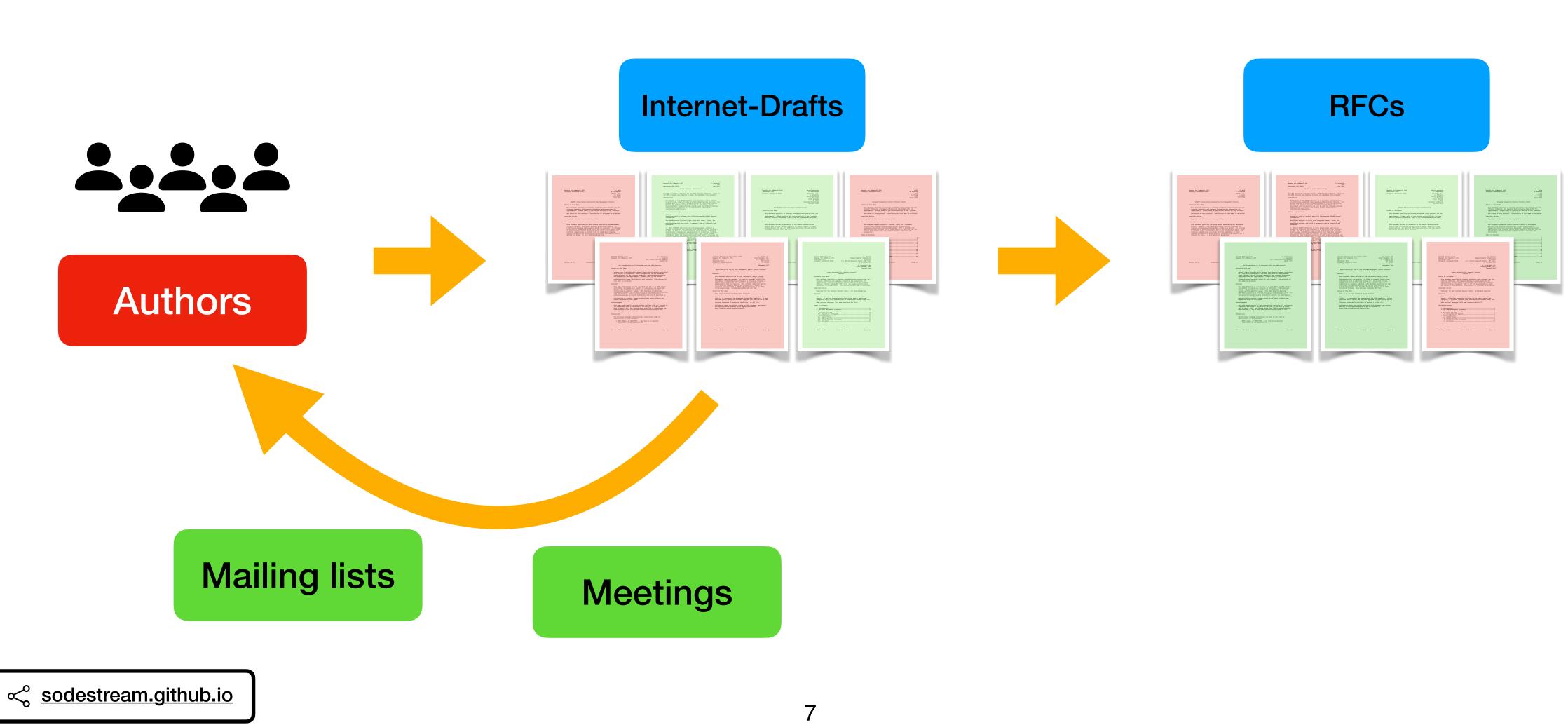


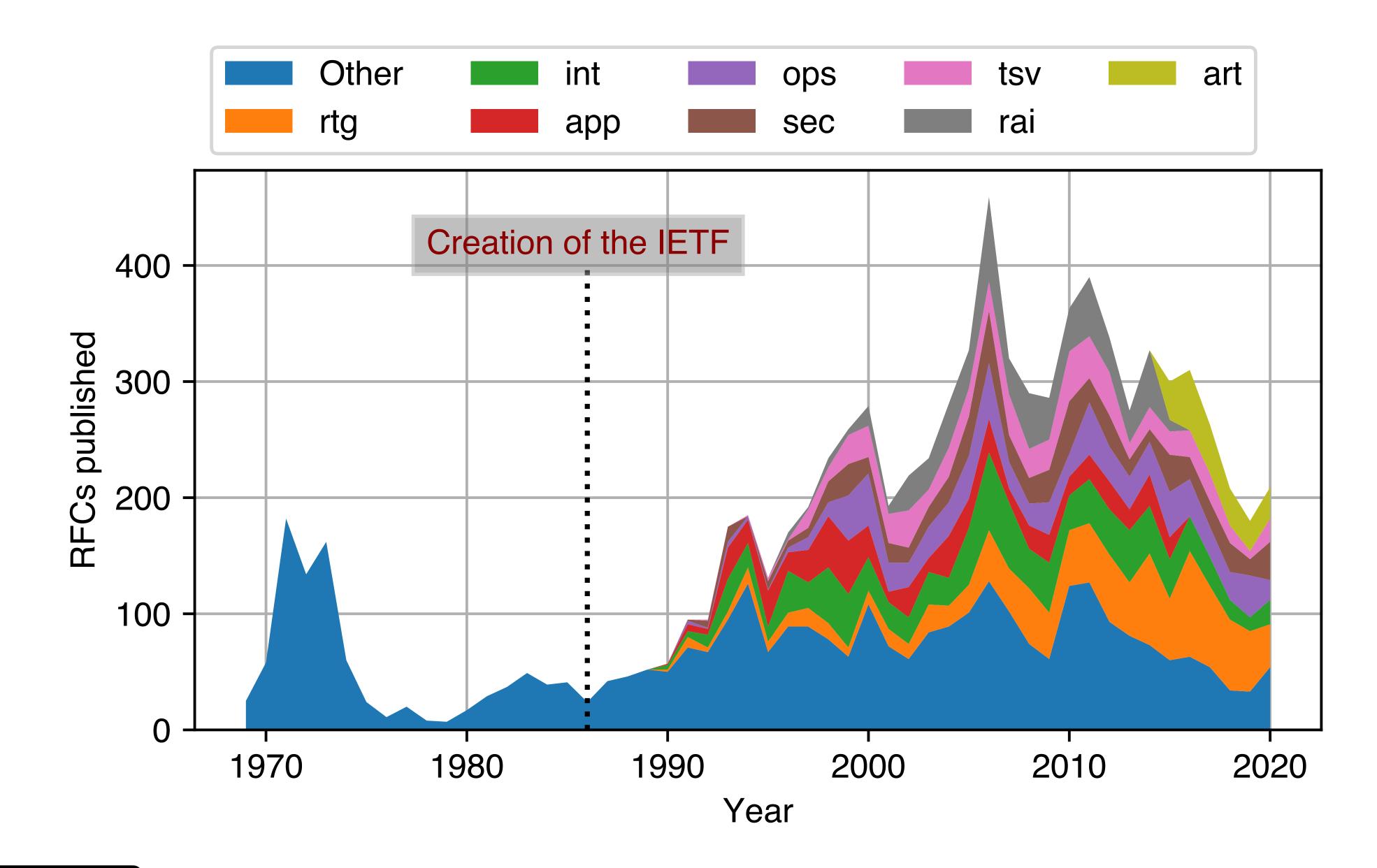


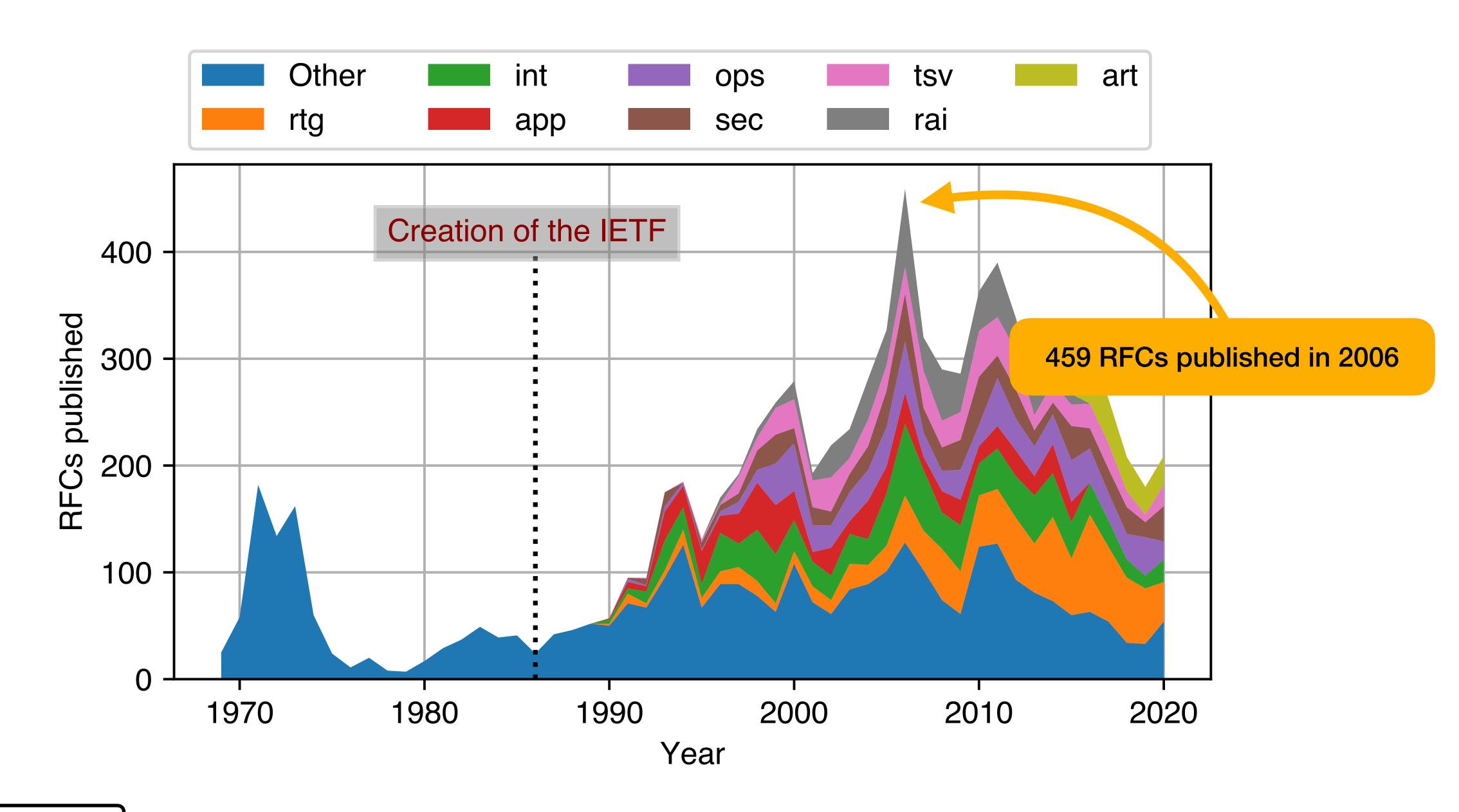


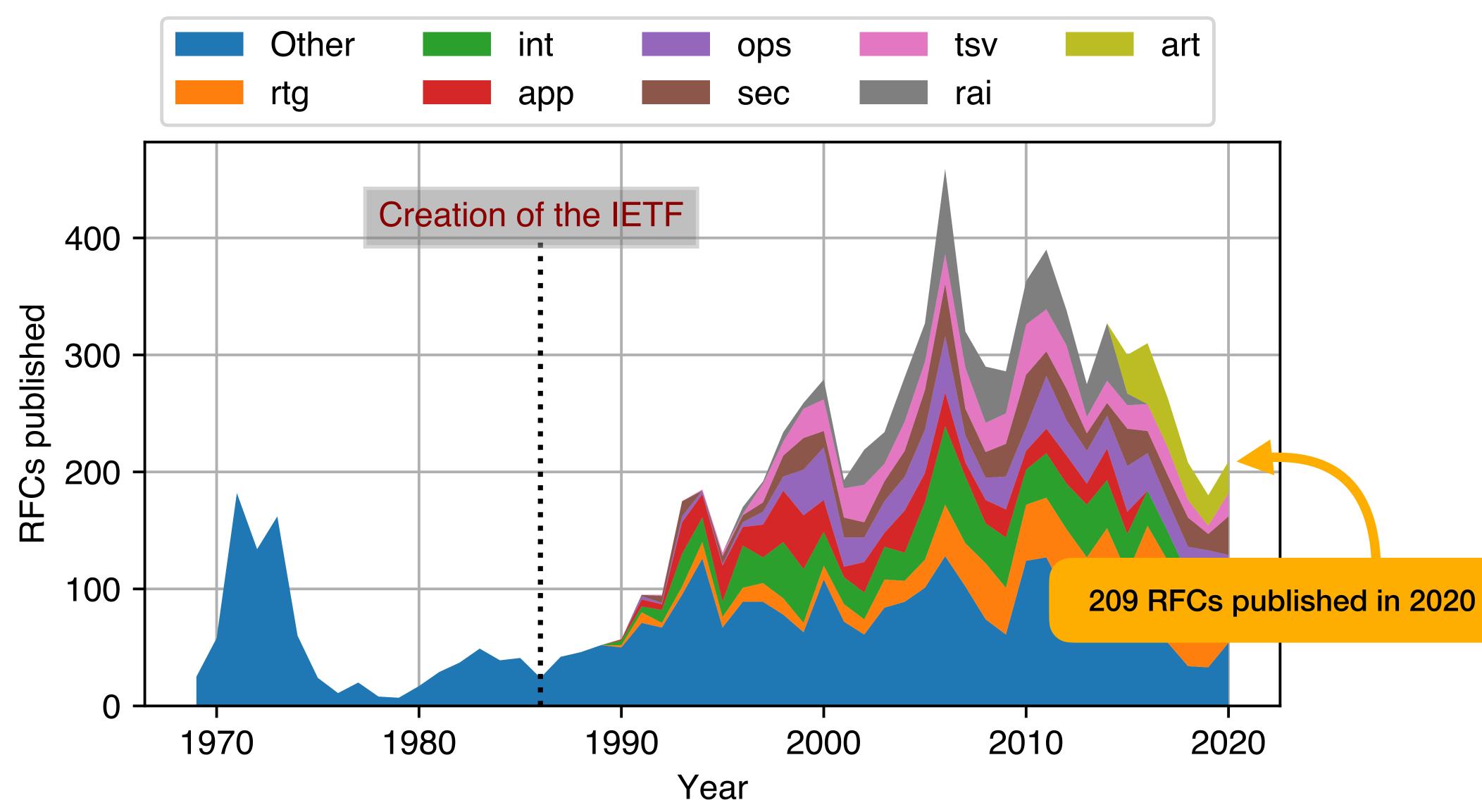




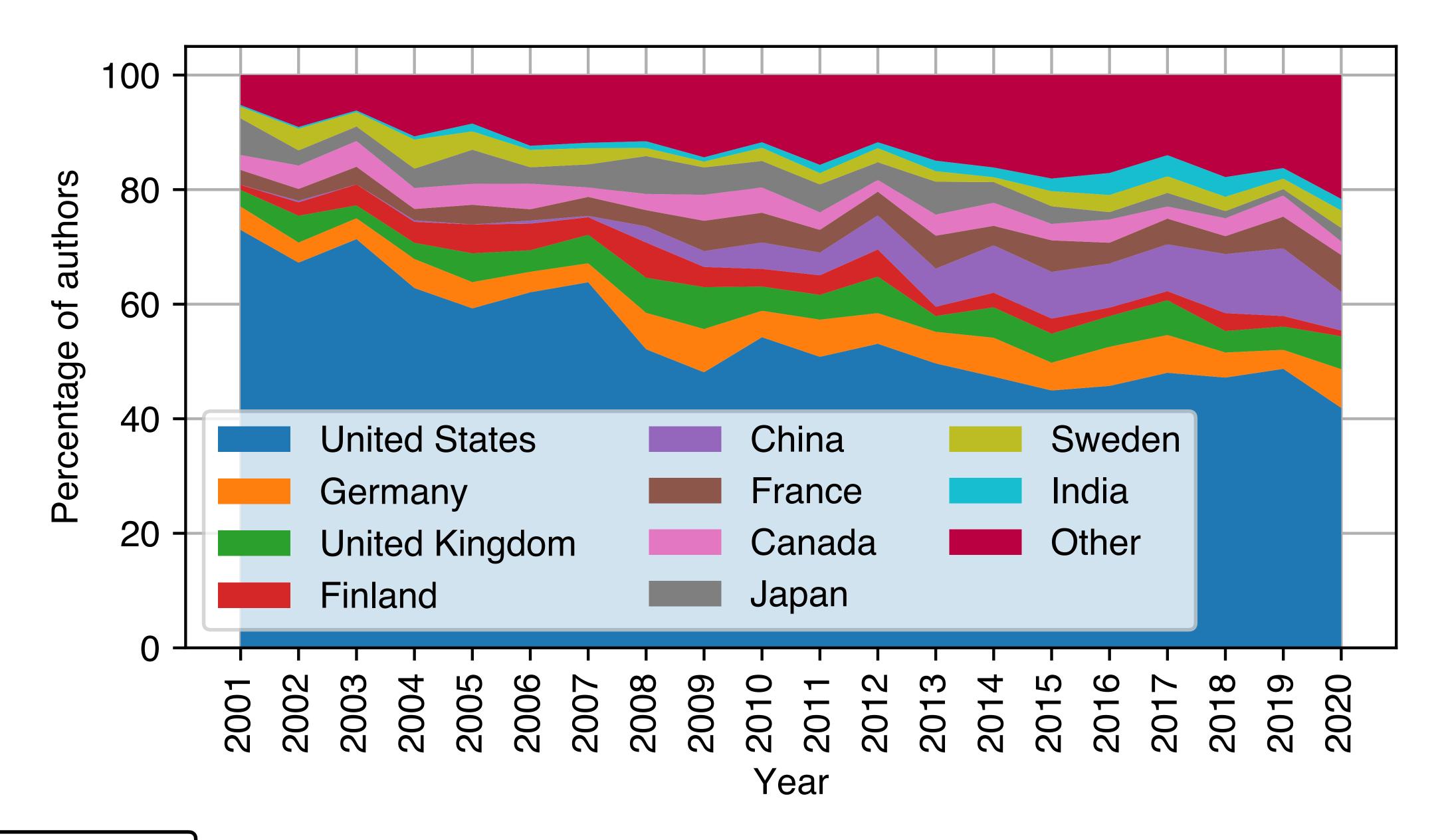


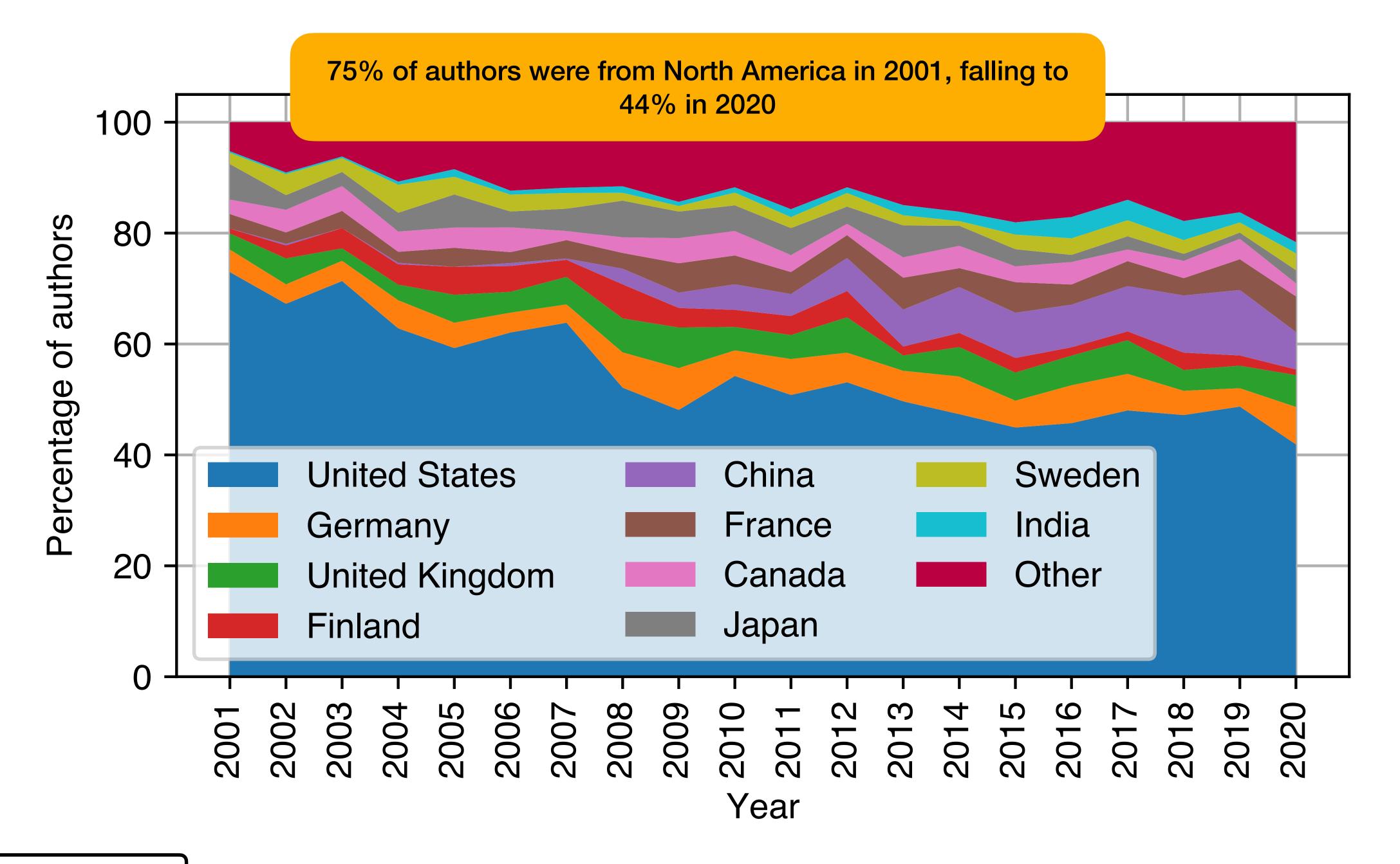


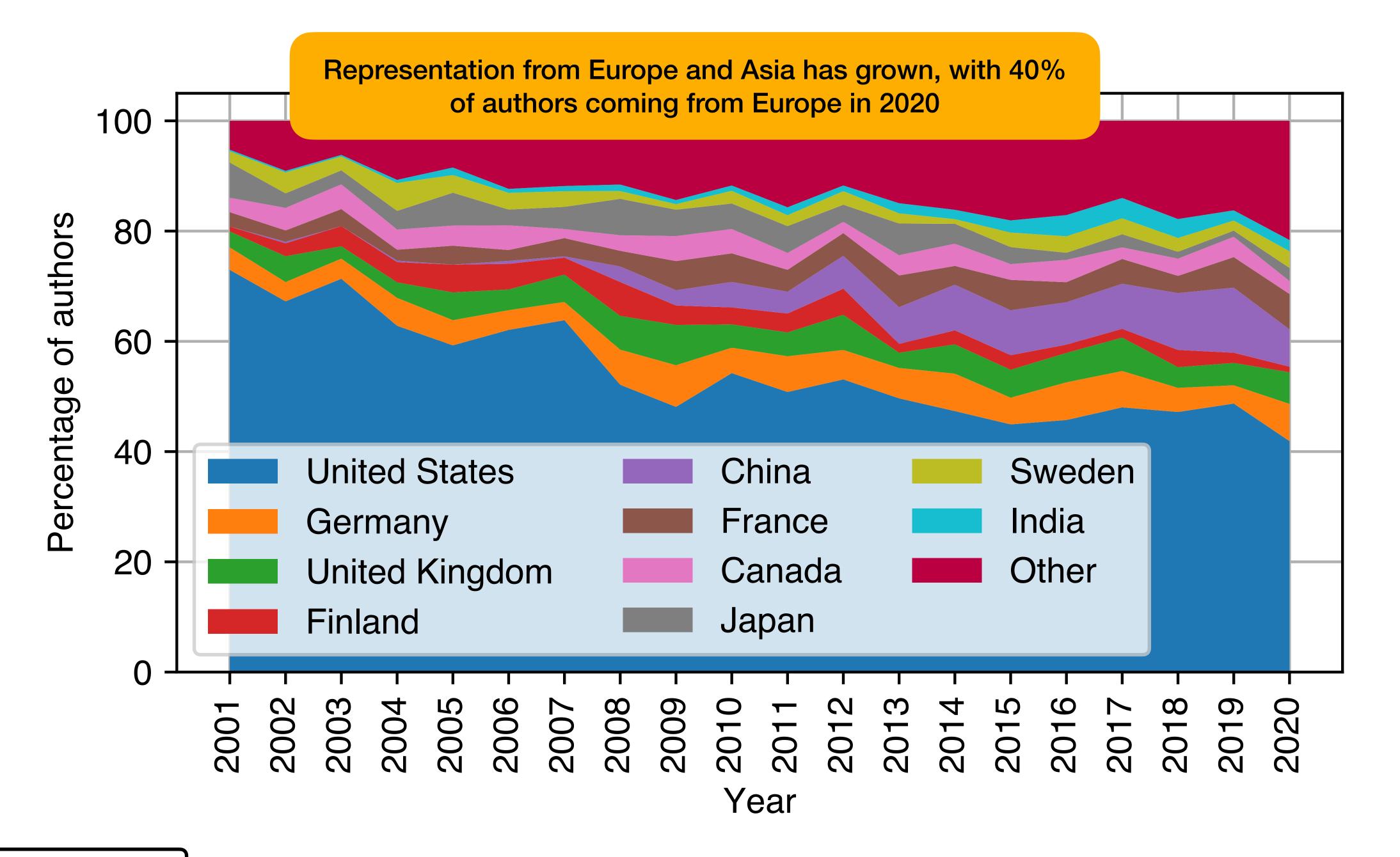


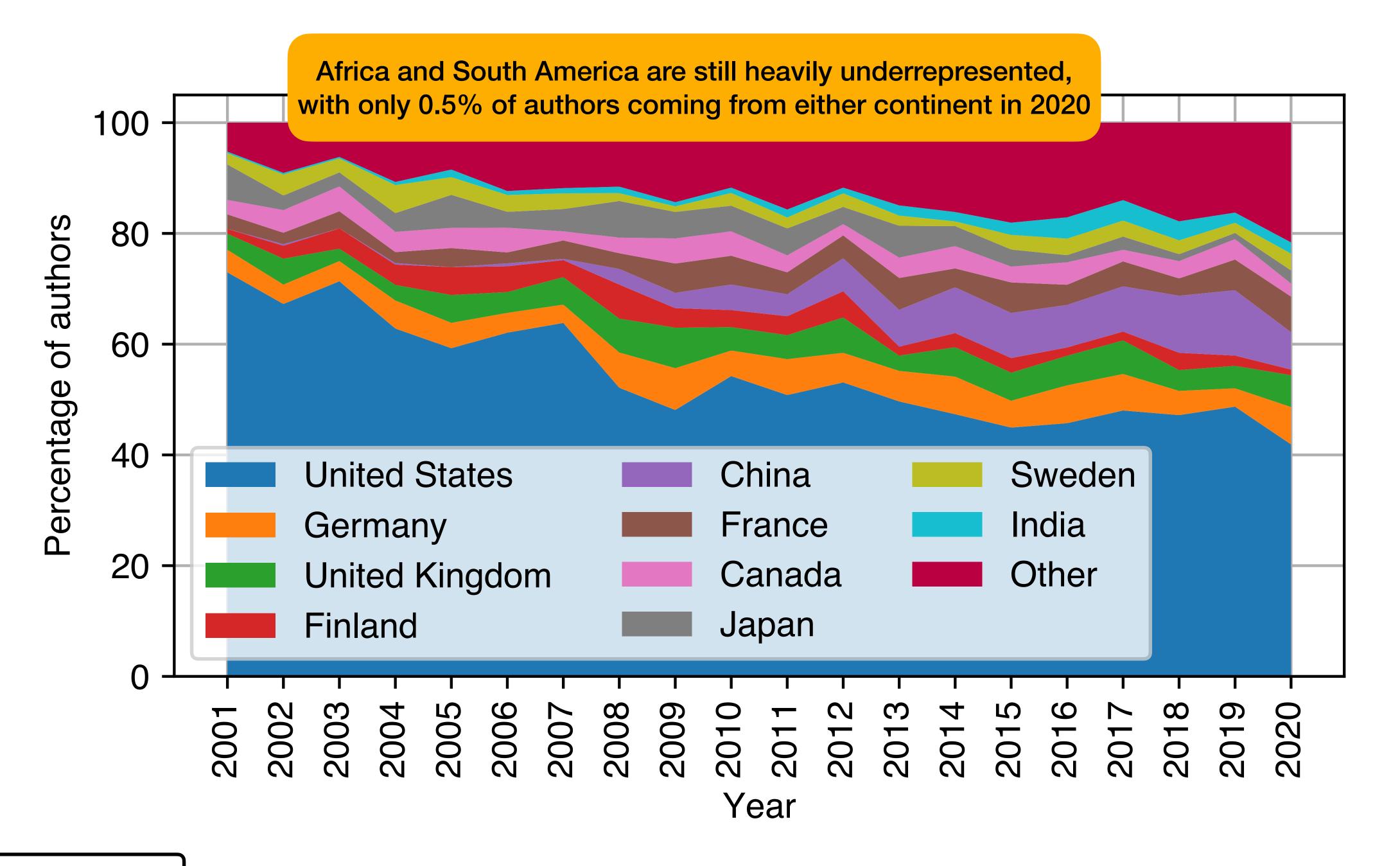


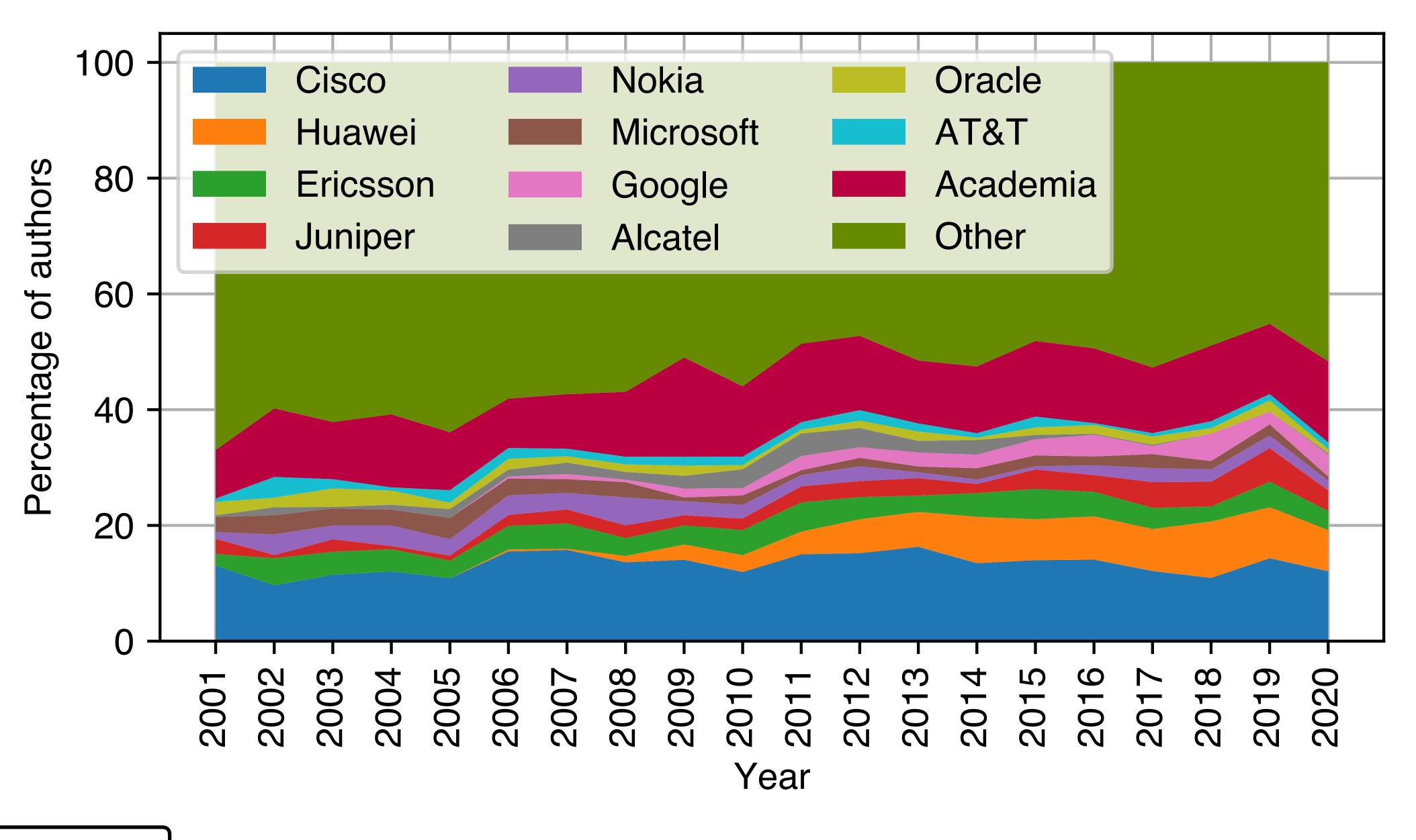


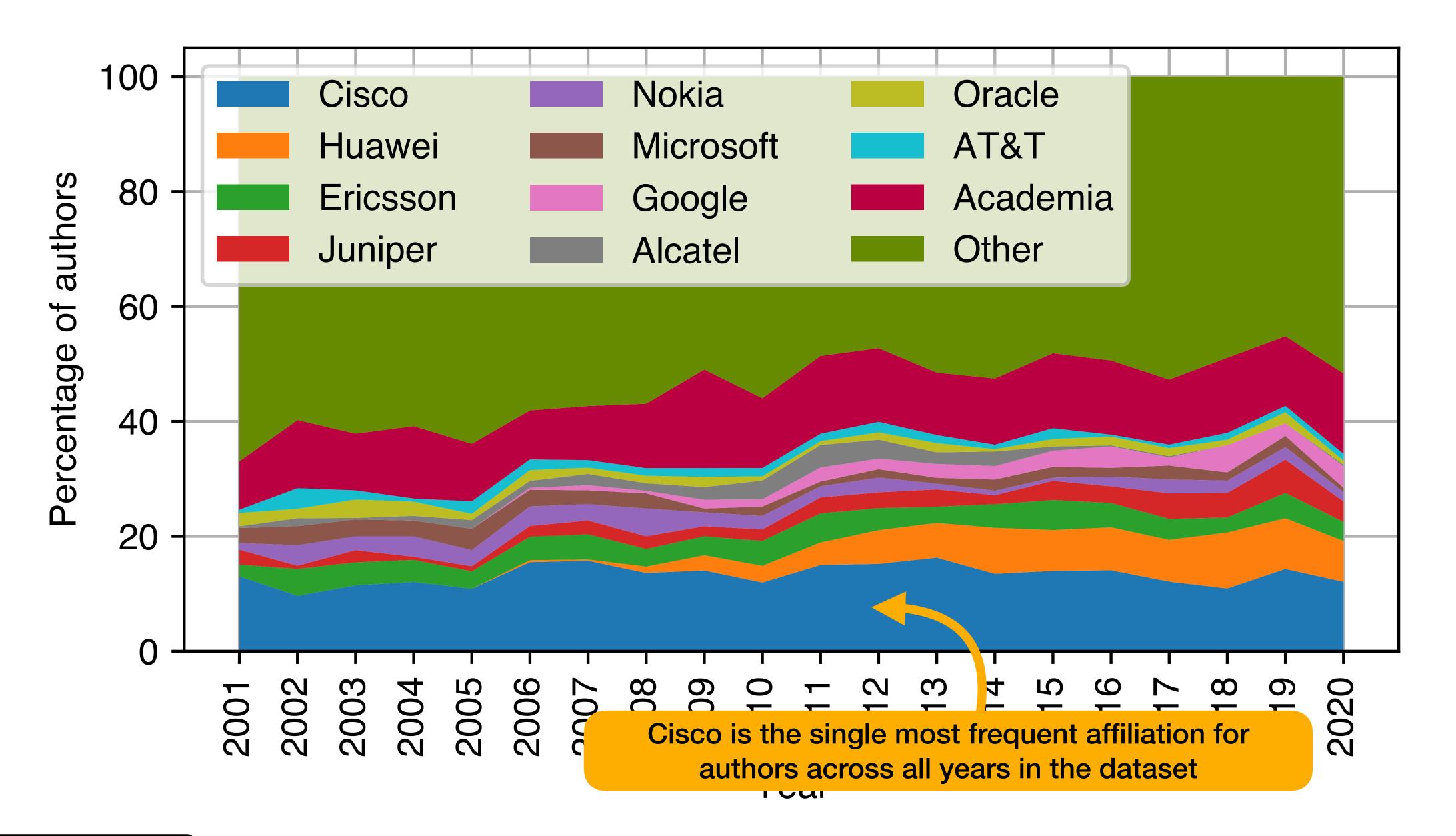


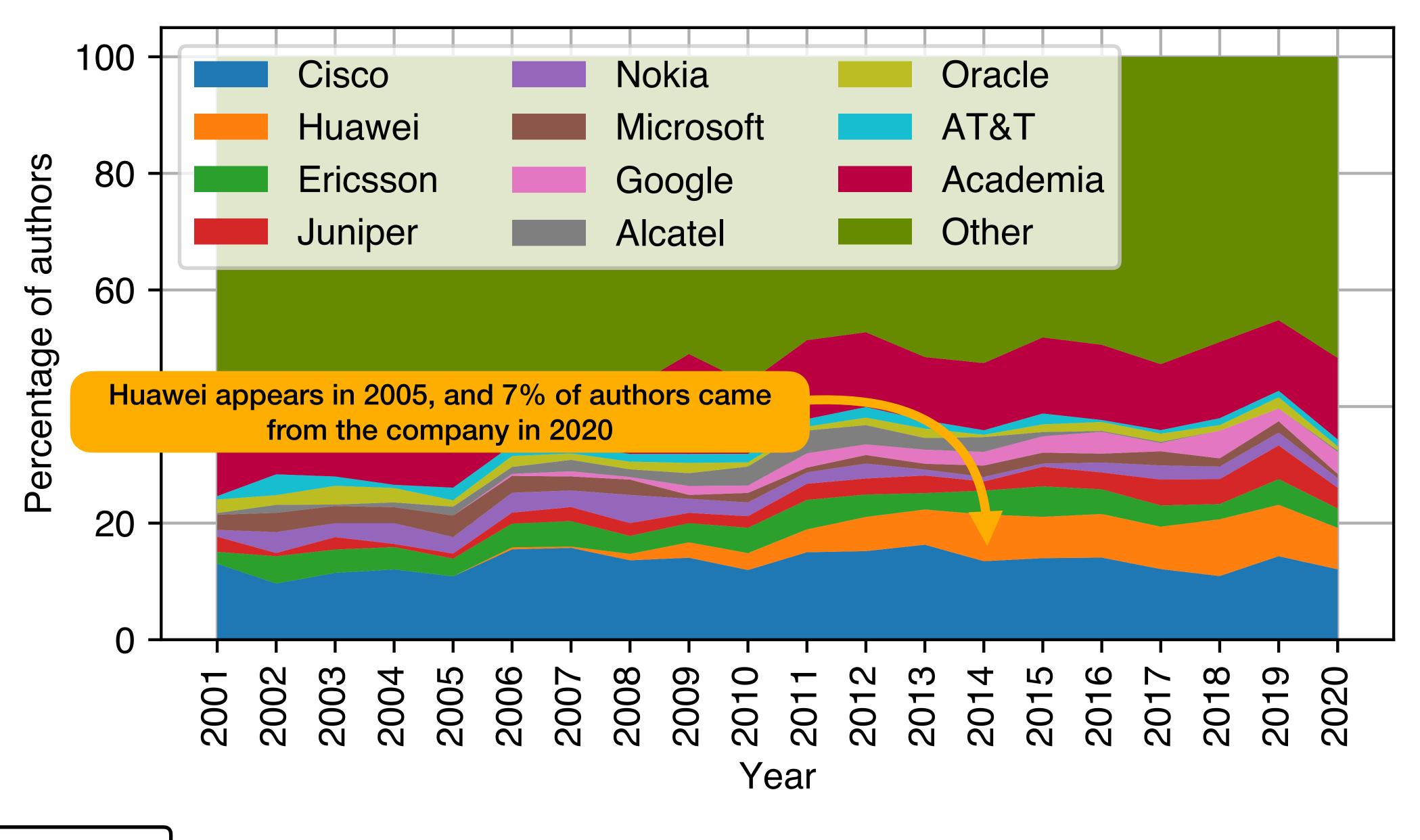


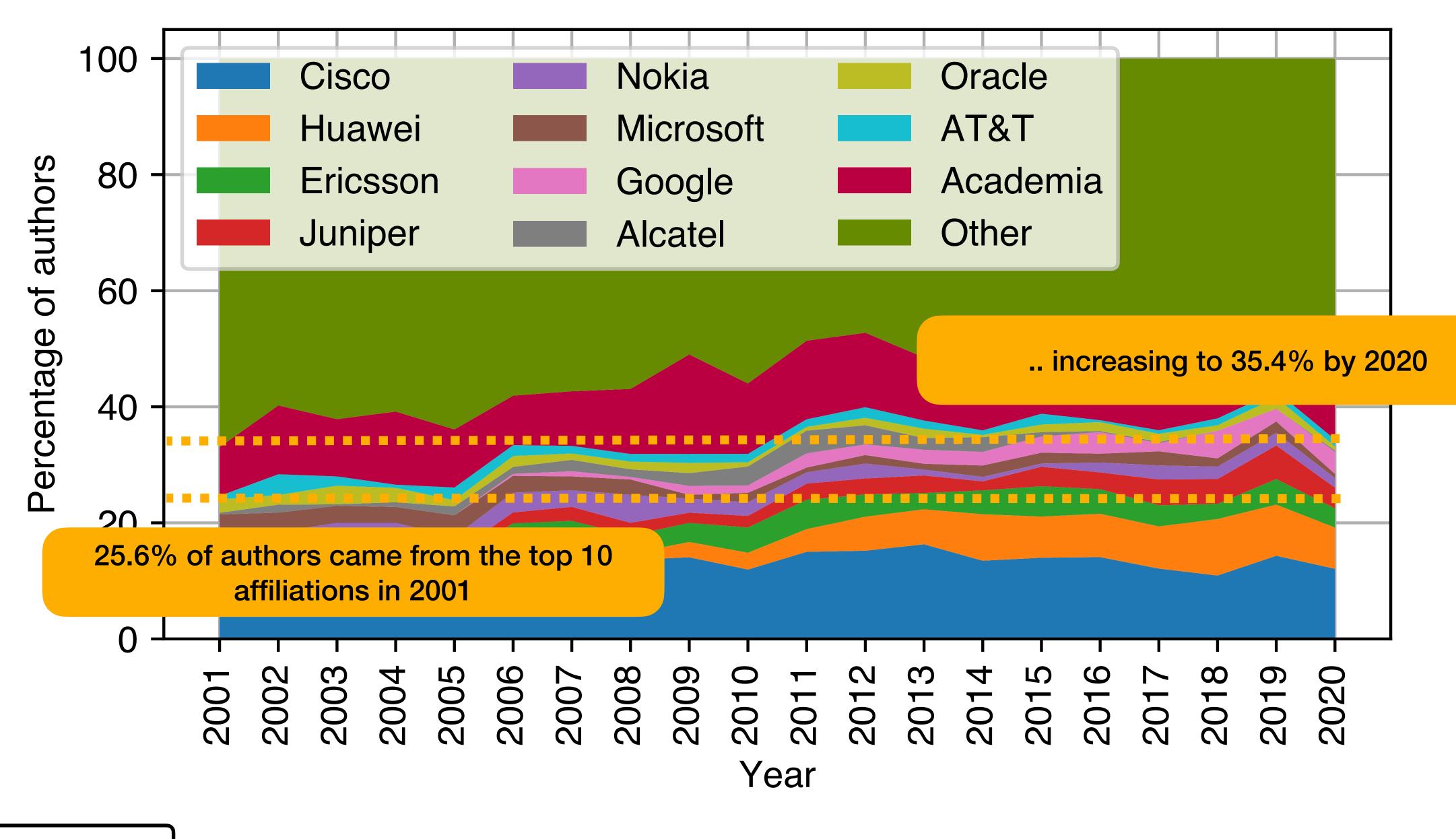




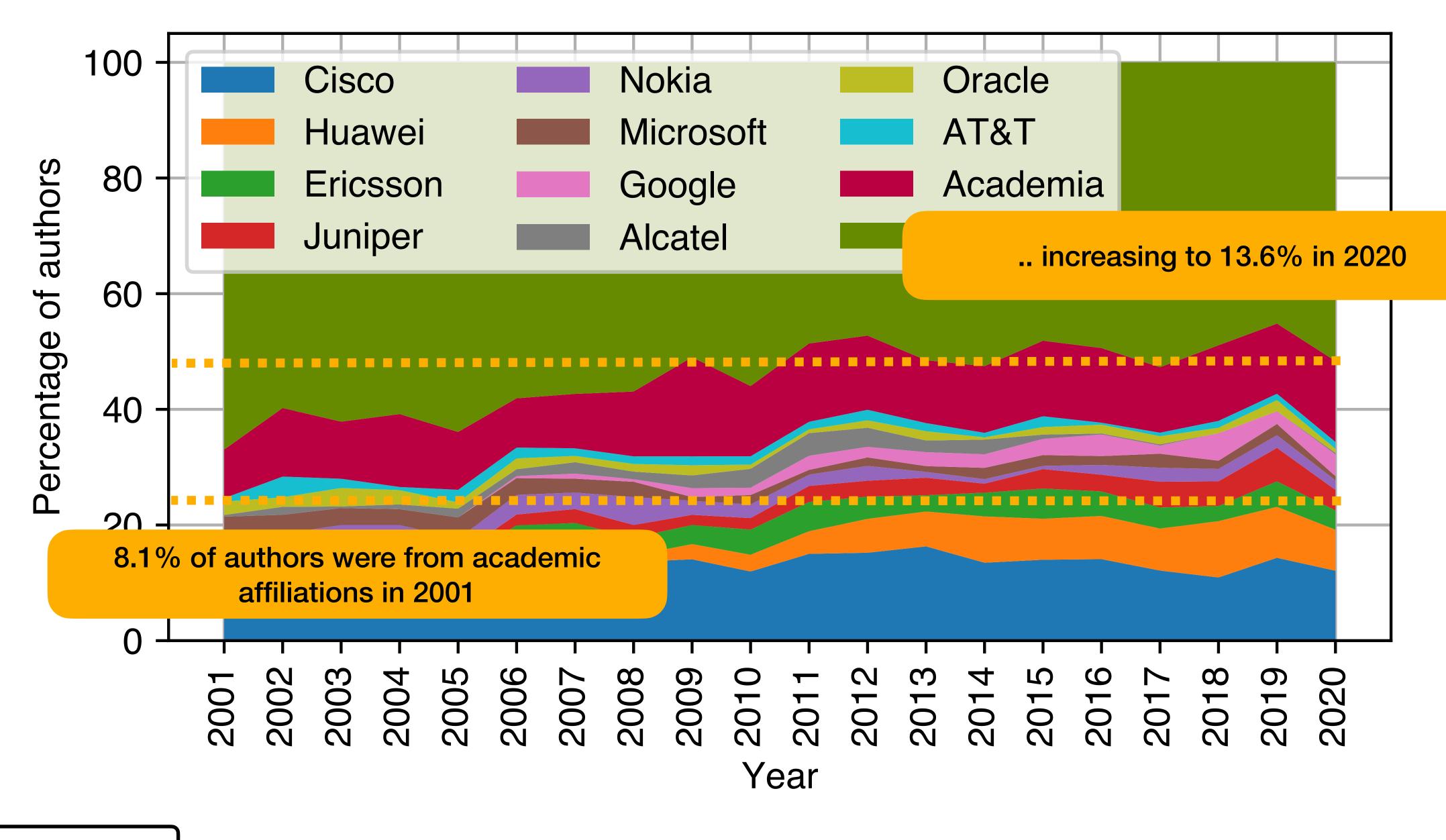




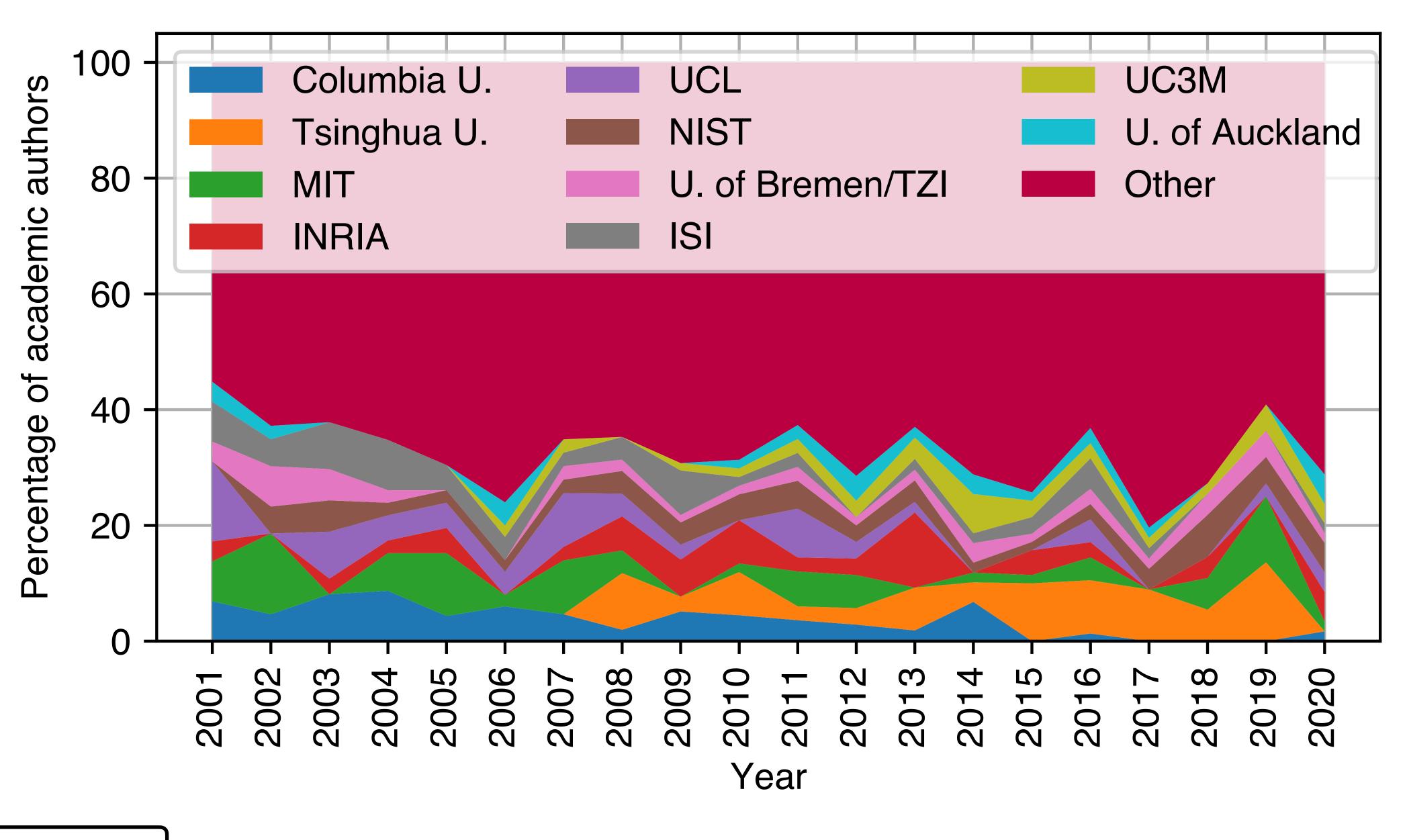


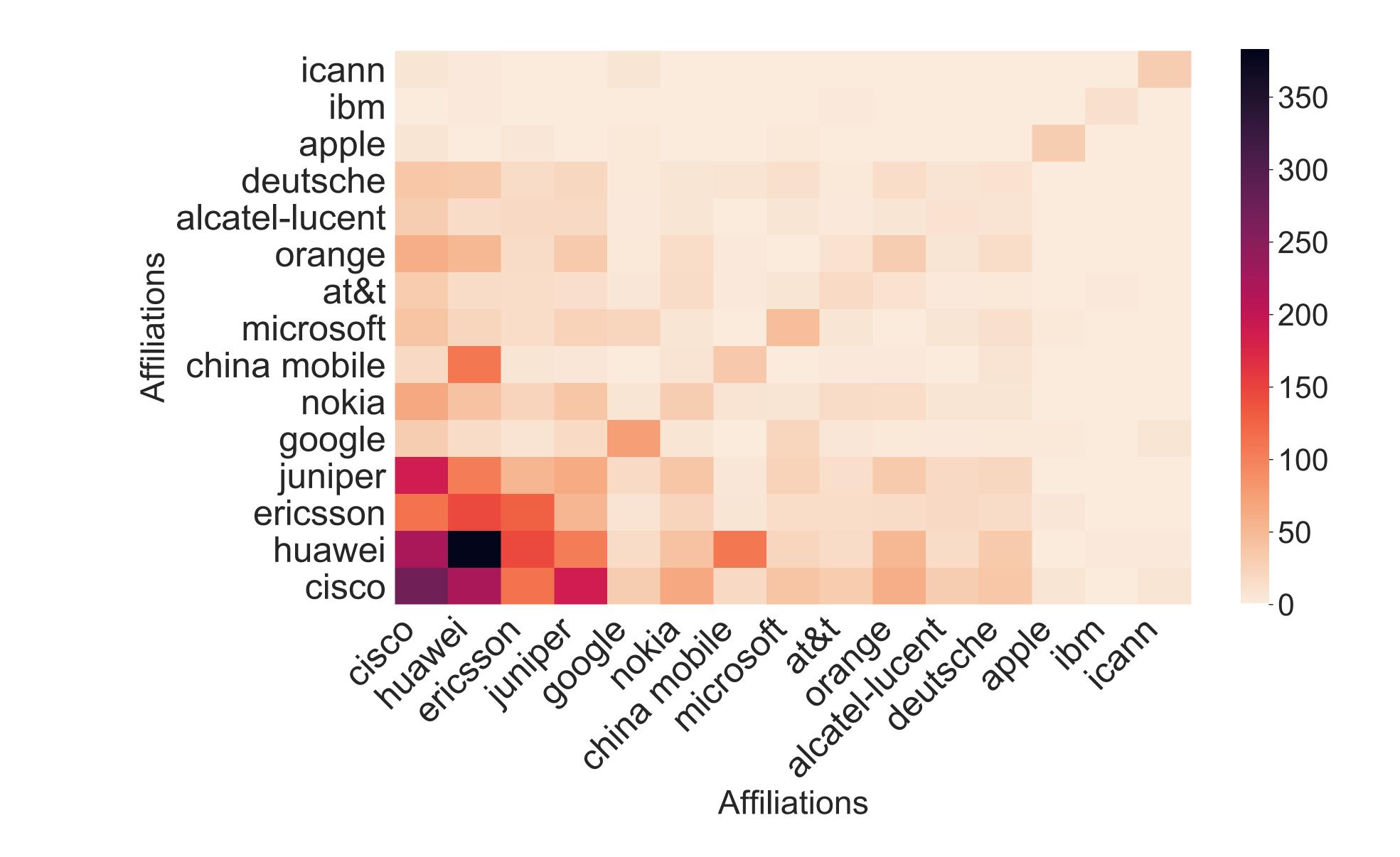


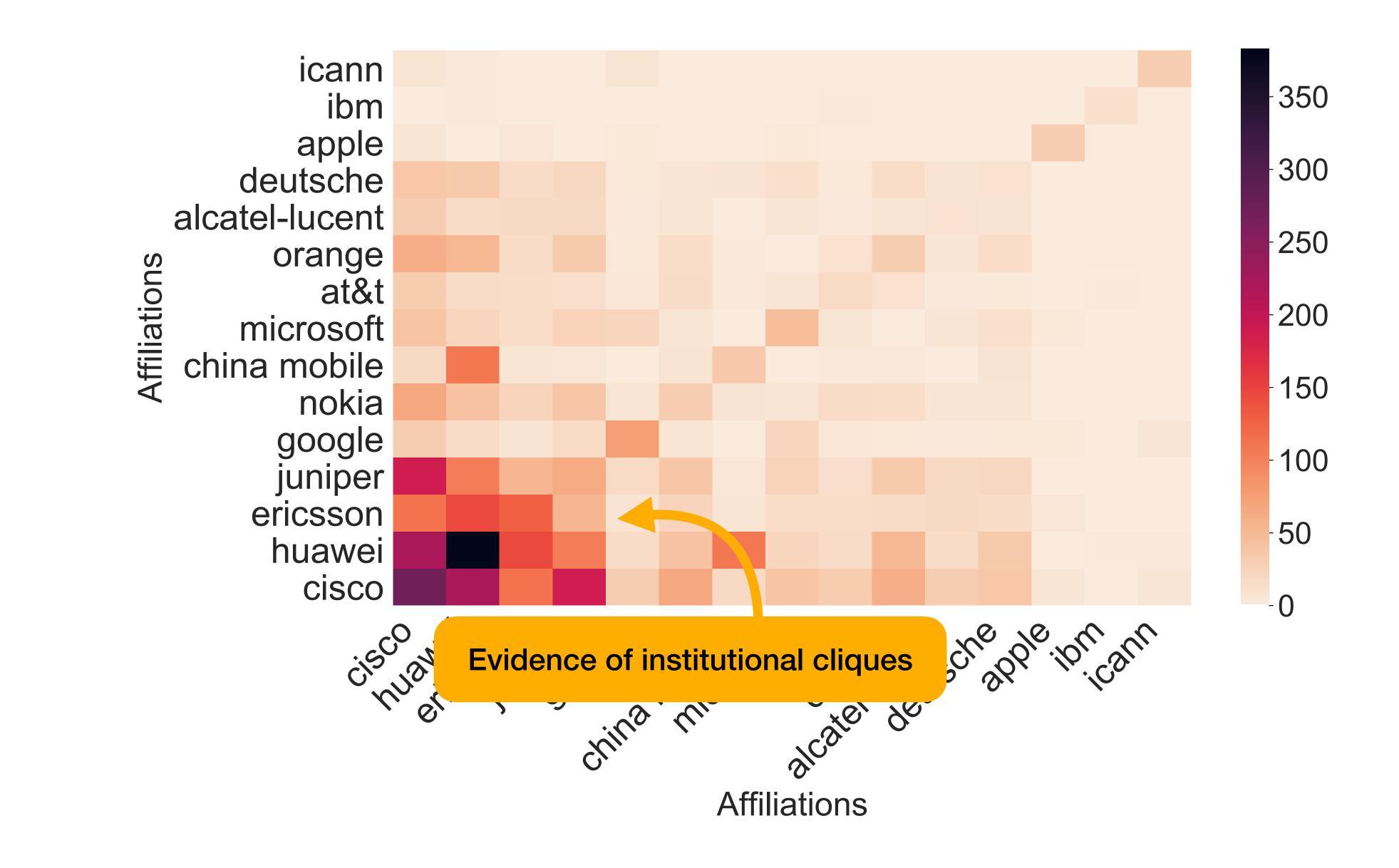


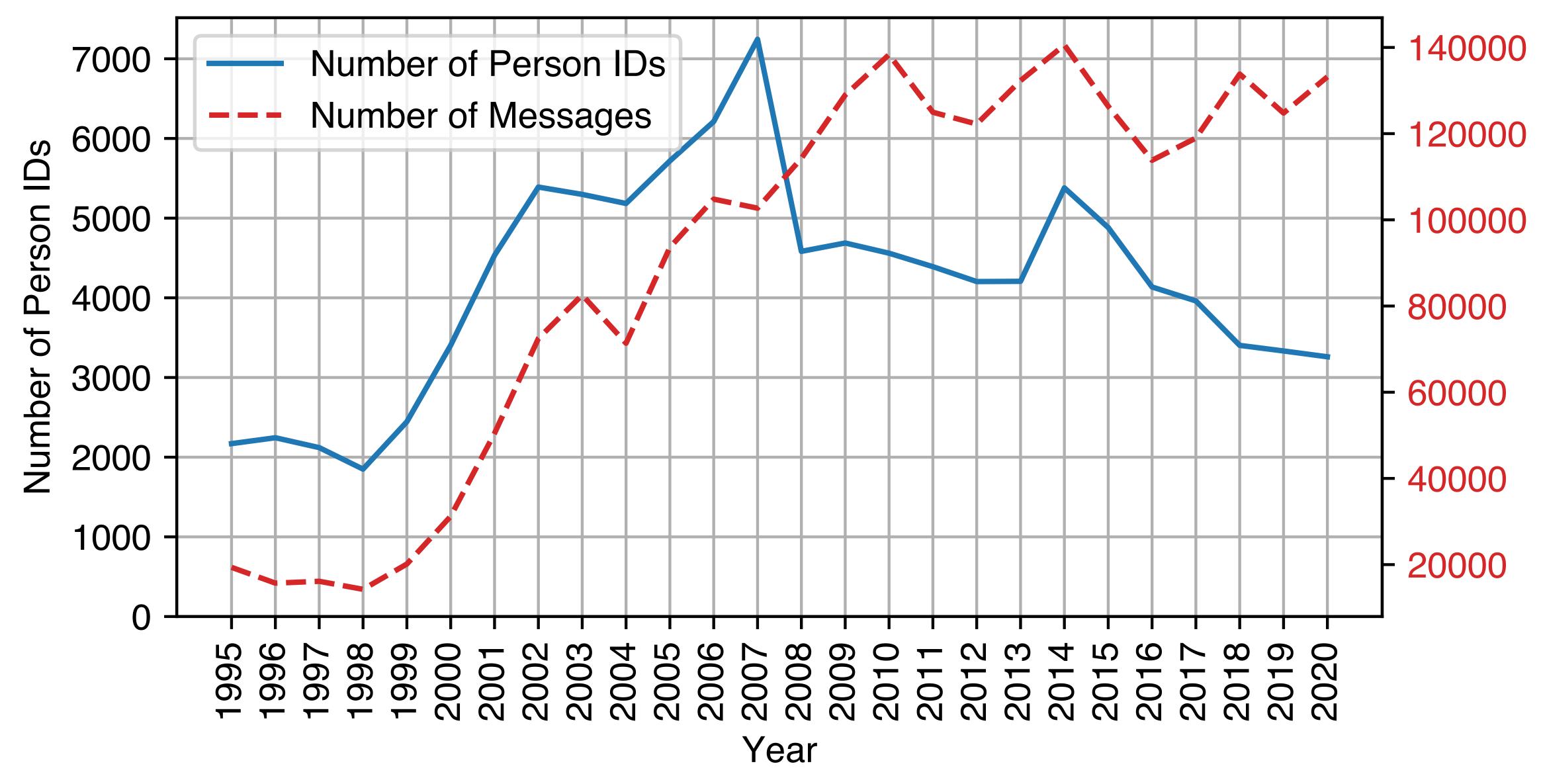




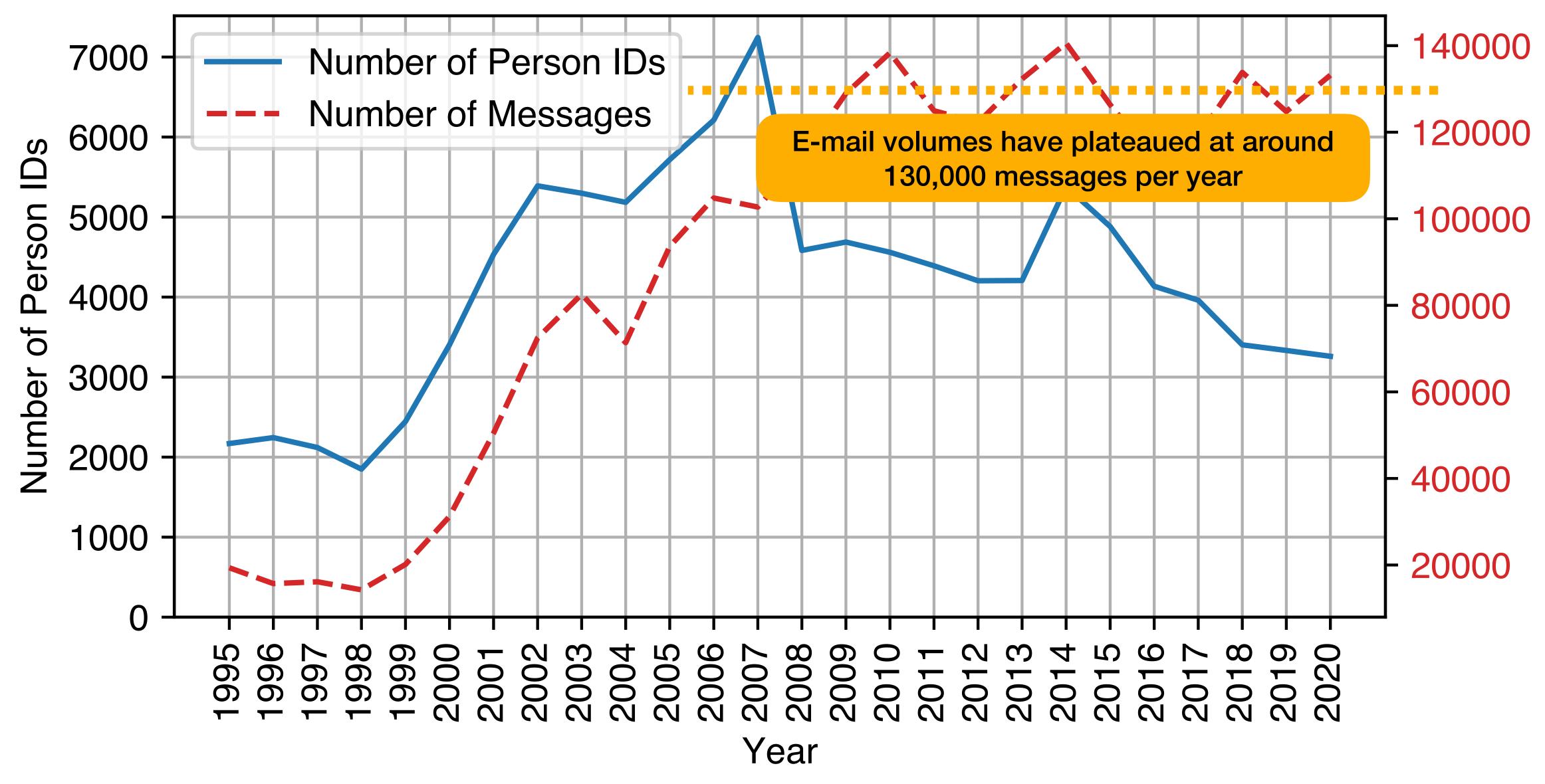




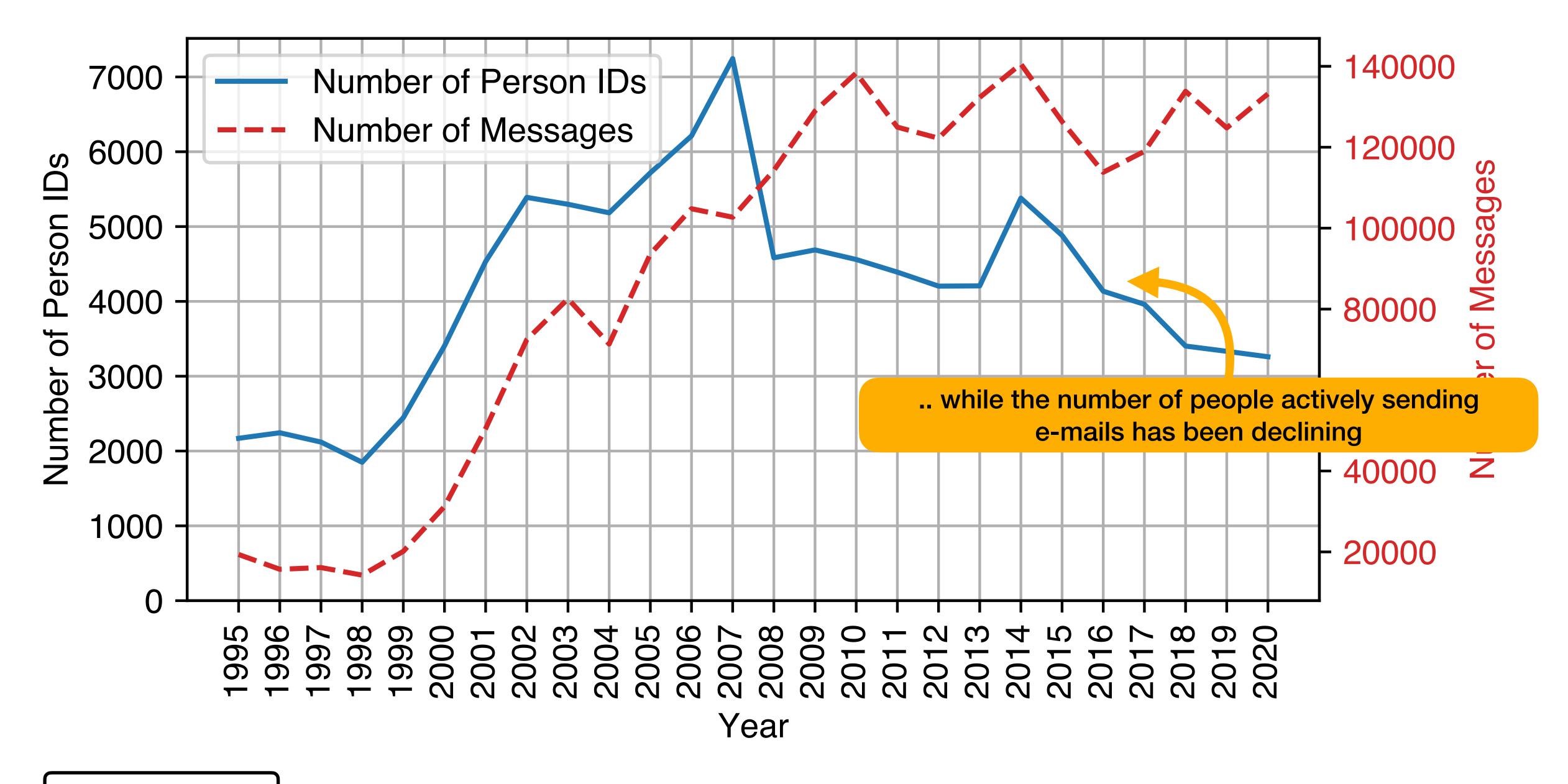


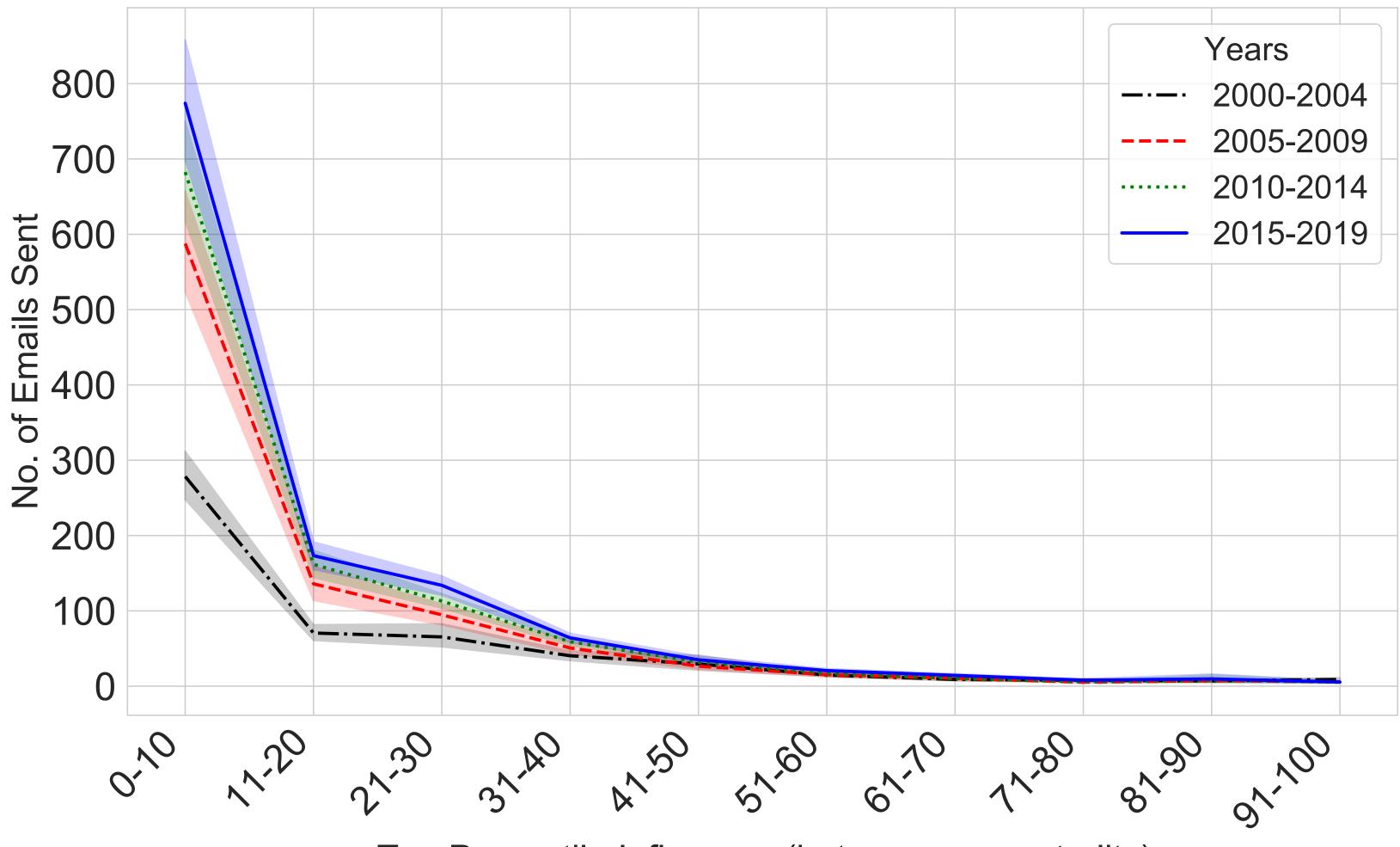




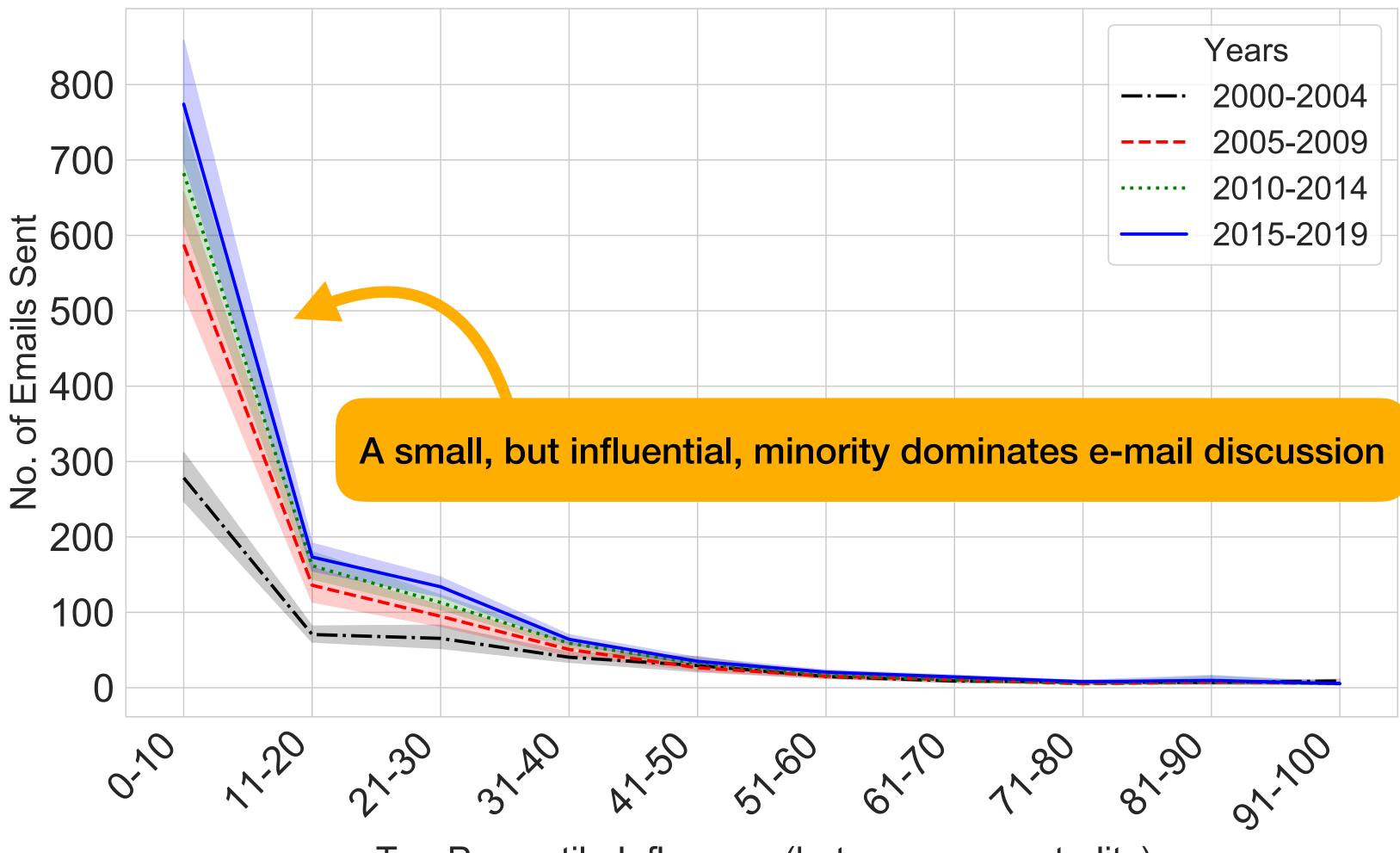




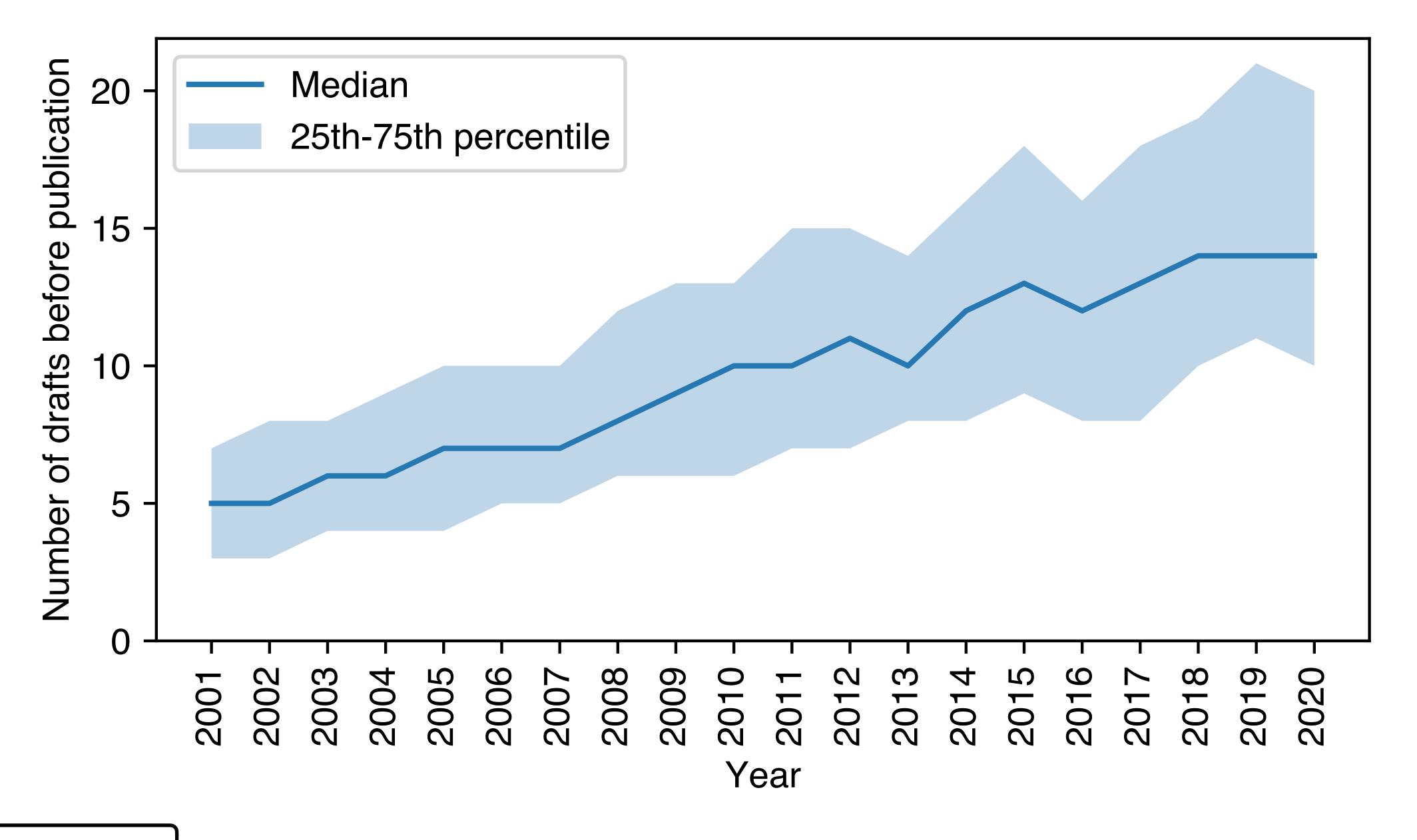


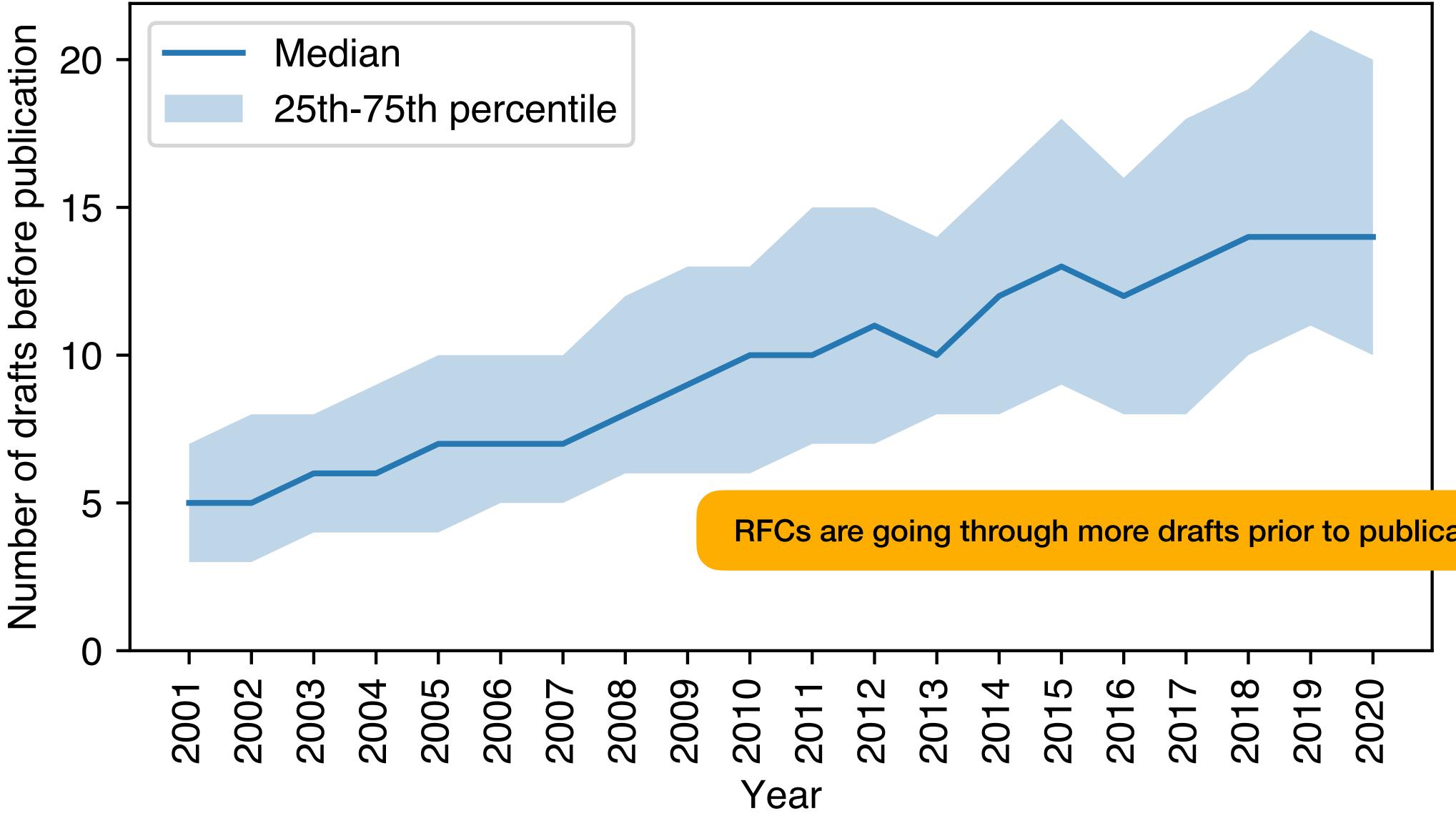


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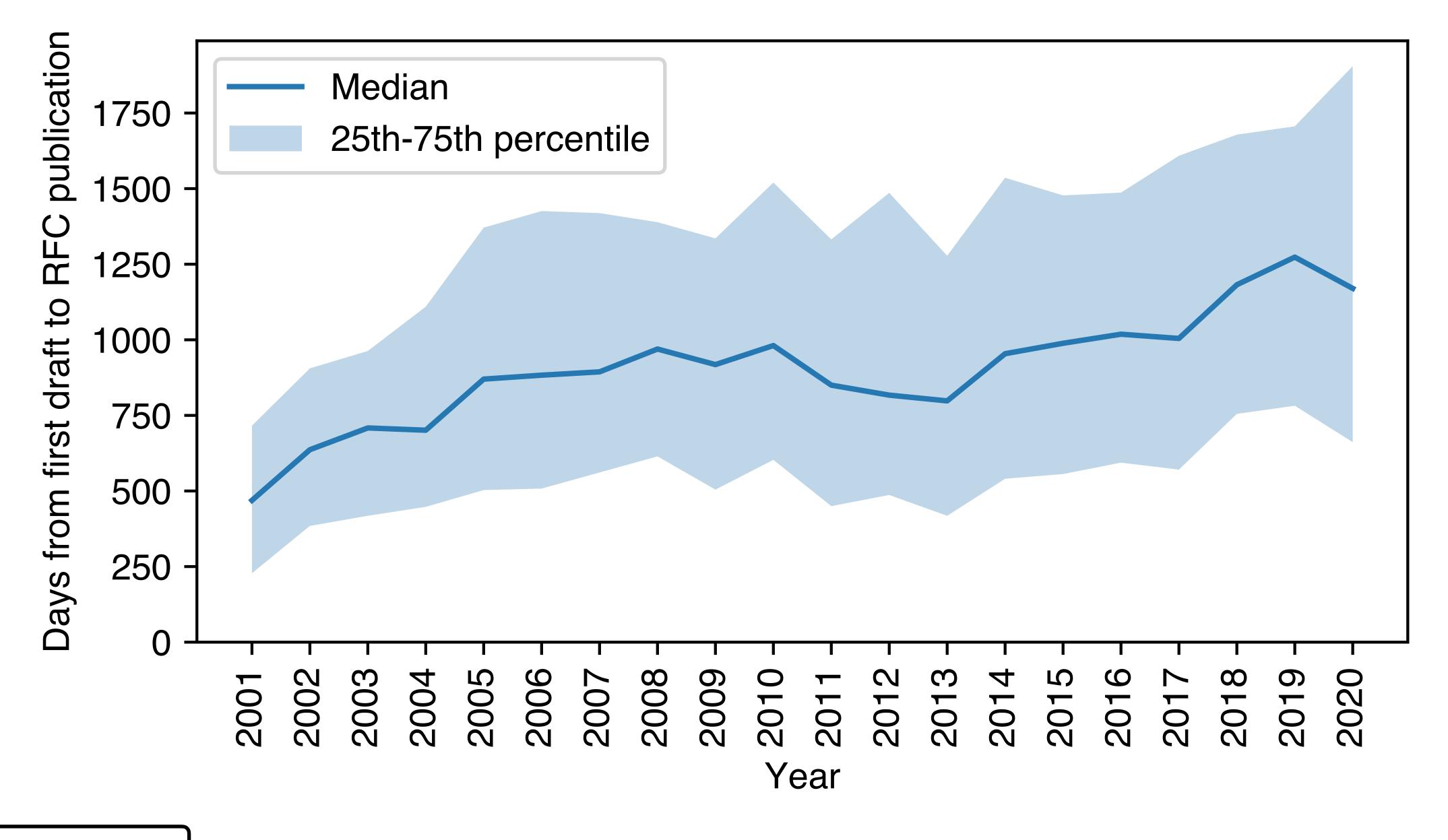
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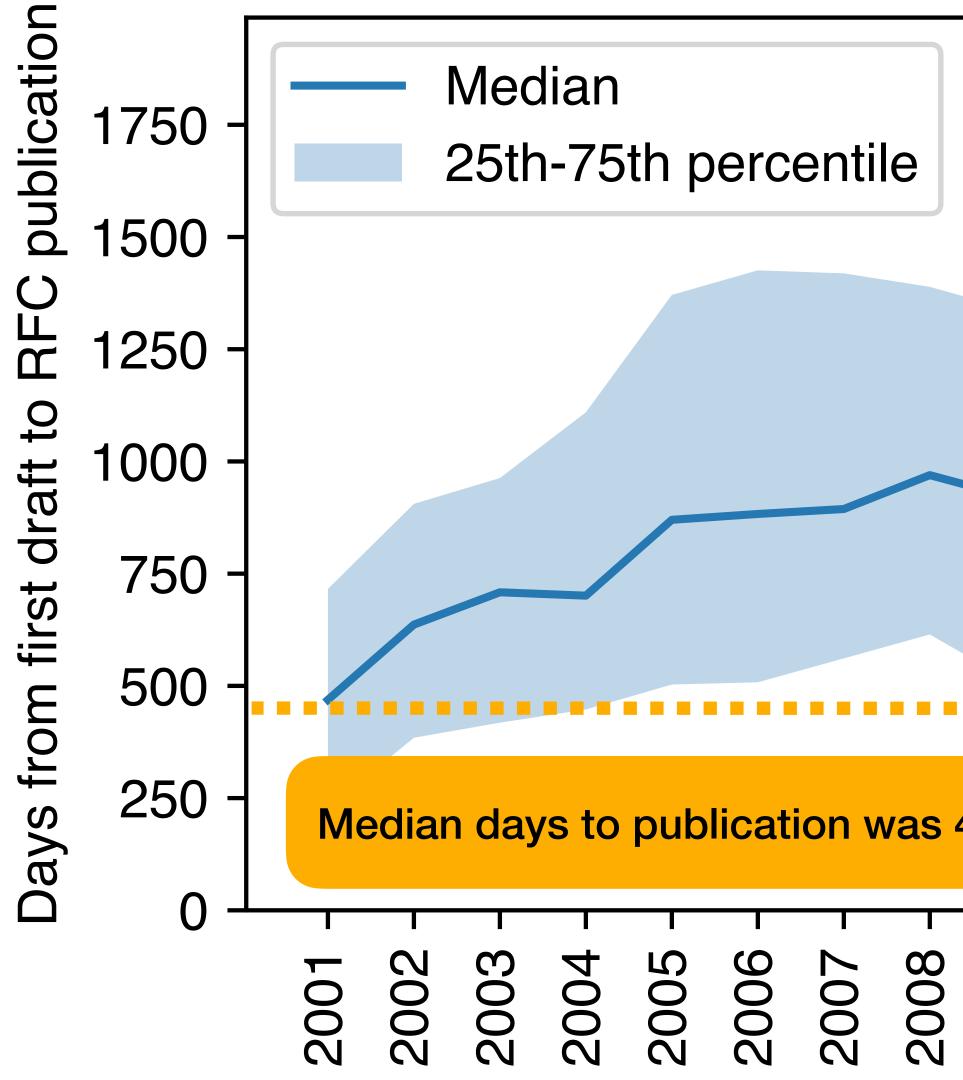




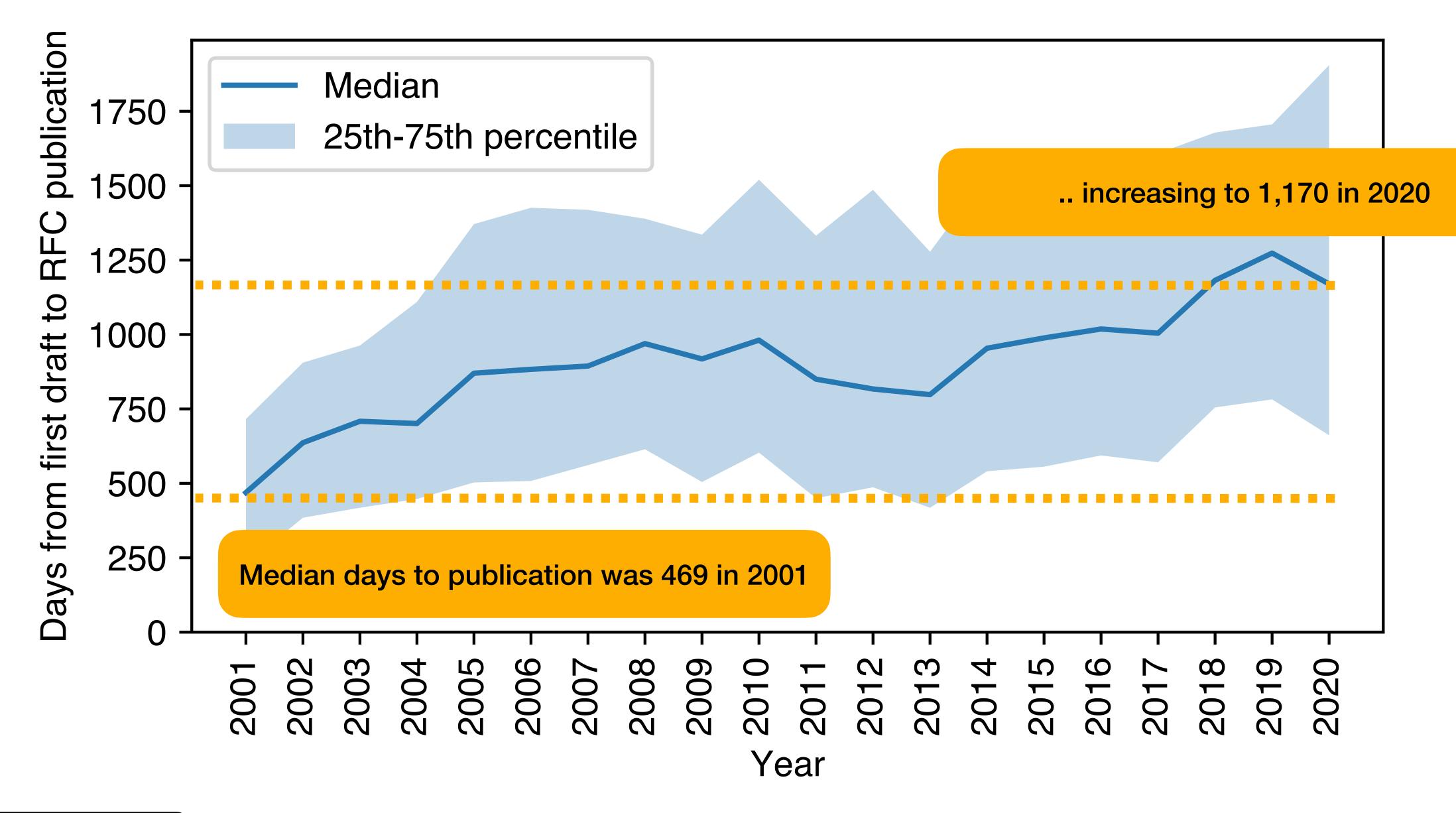
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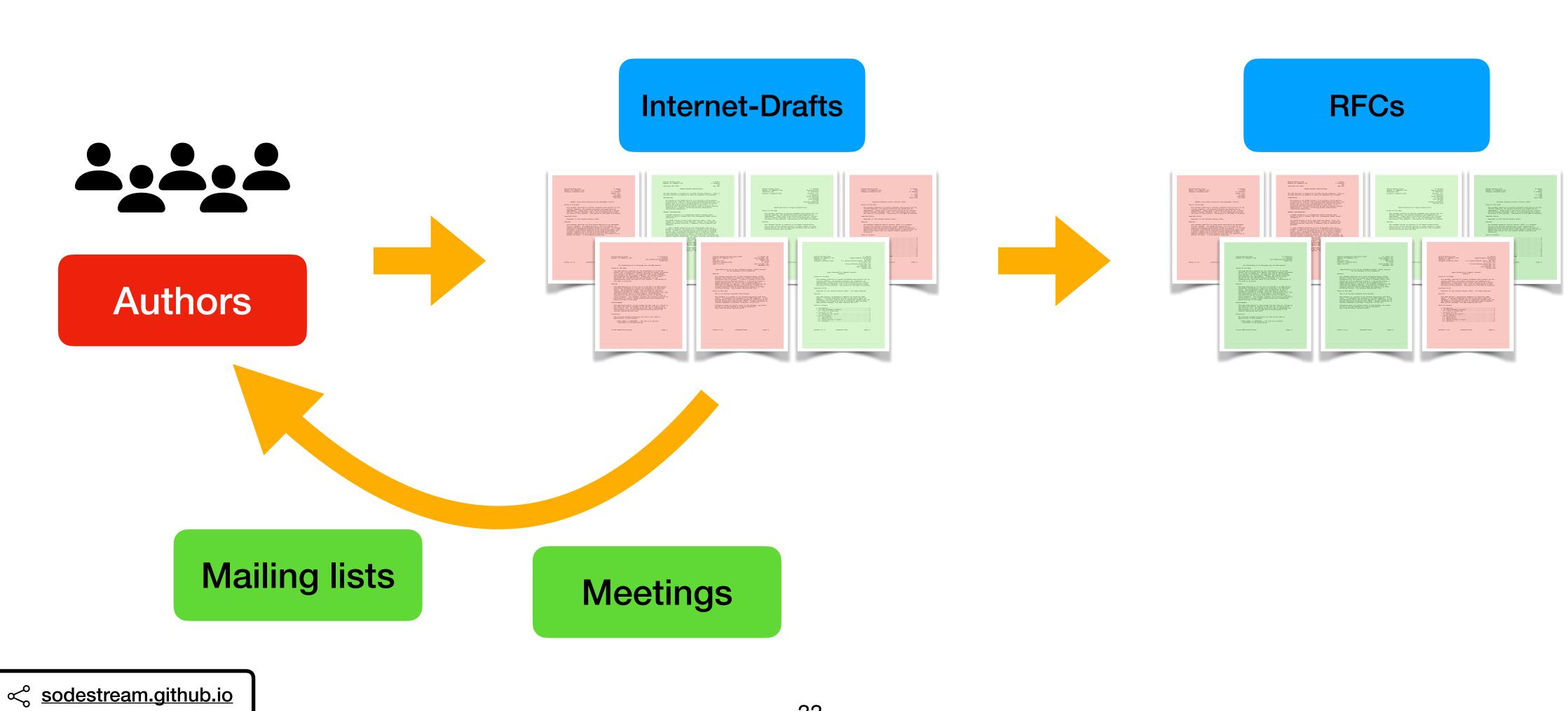


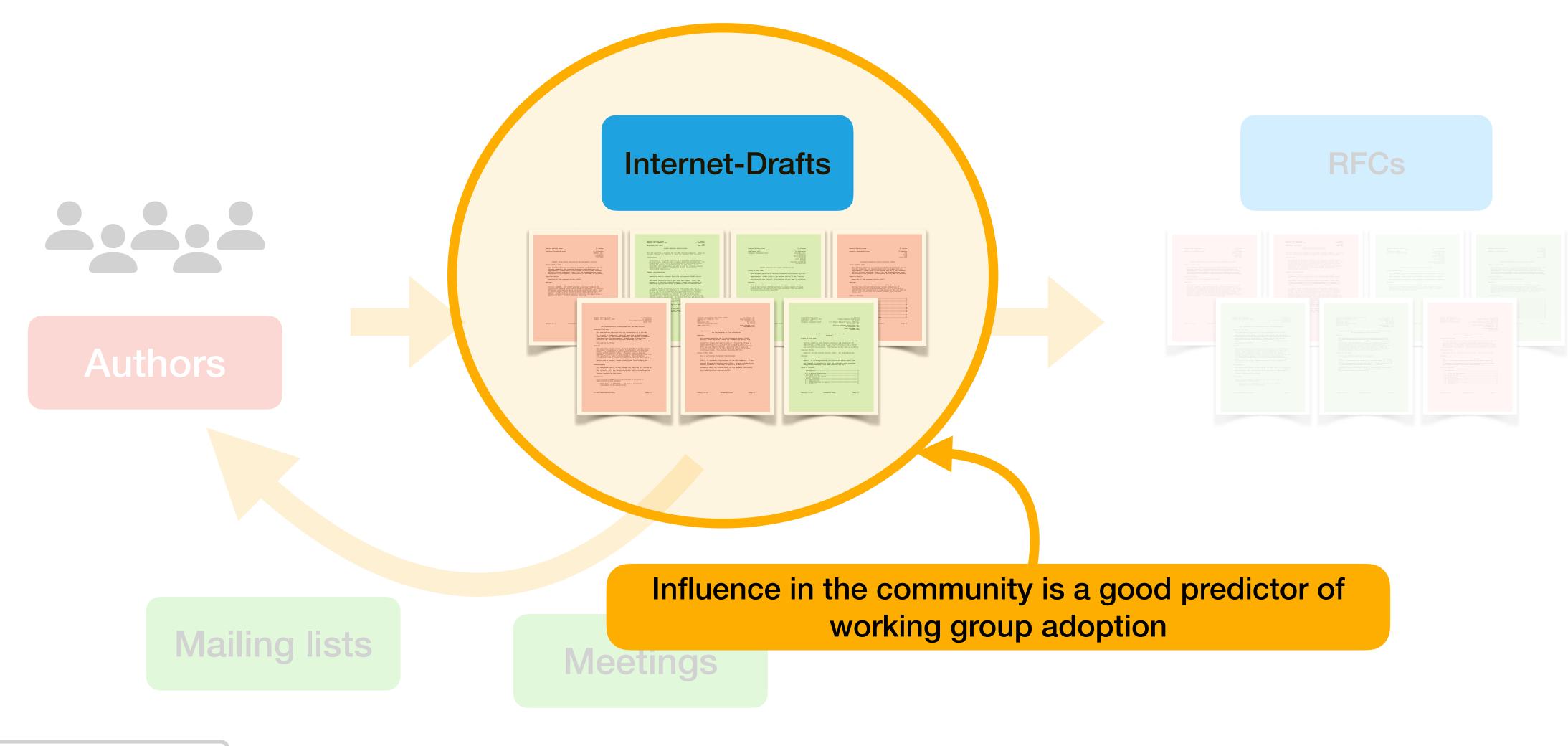




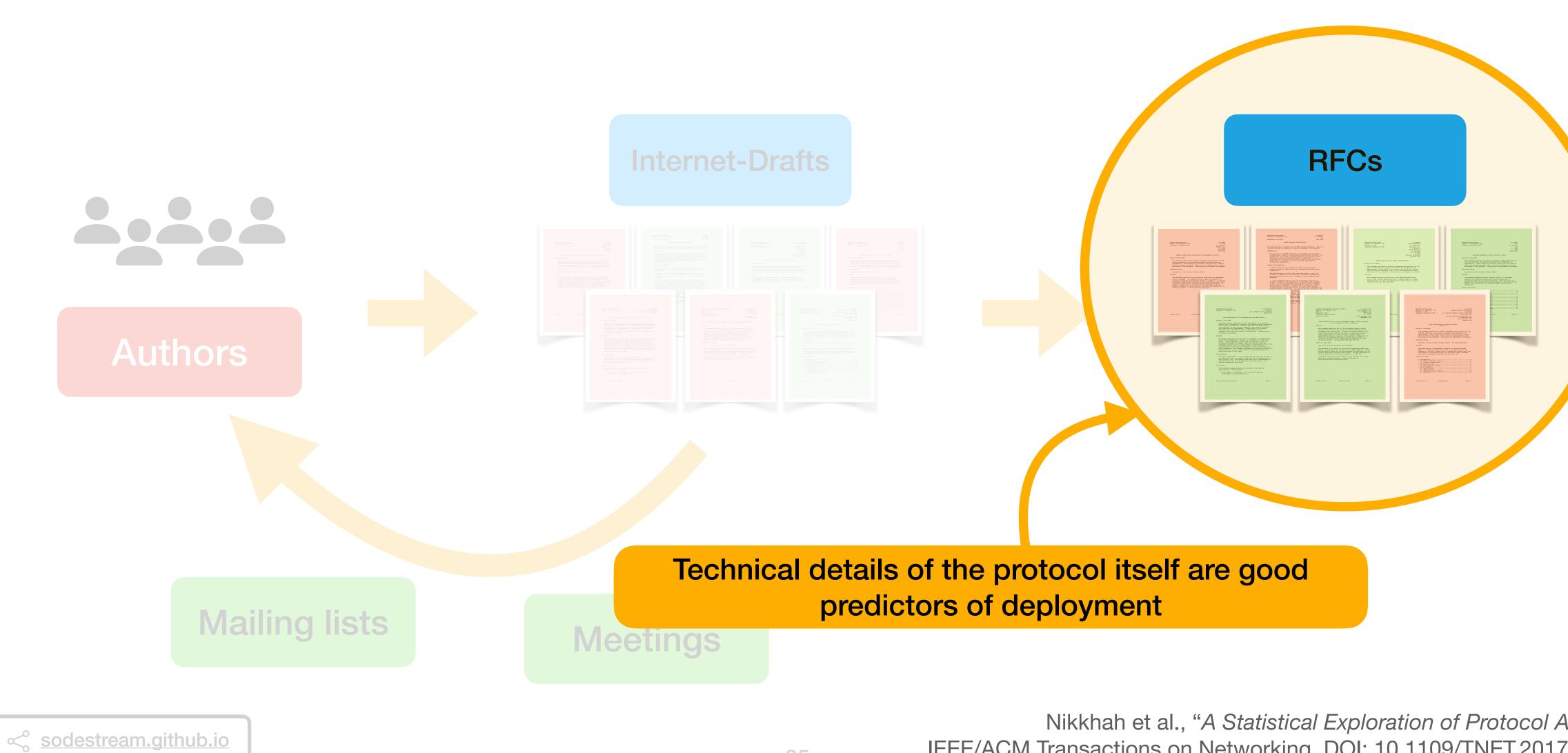
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Nikkhah et al., "A Statistical Exploration of Protocol Adoption". IEEE/ACM Transactions on Networking. DOI: 10.1109/TNET.2017.2711642





Characterising the IETF Through the Lens of RFC Deployment

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ABSTRACT

Protocol standards, defined by the Internet Engineering Task Force (IETF), are crucial to the successful operation of the Internet. This paper presents a large-scale empirical study of IETF activities, with a focus on understanding collaborative activities, and how these underpin the publication of standards documents (RFCs). Using a unique dataset of 2.4 million emails, 8,711 RFCs and 4,512 authors, we examine the shifts and trends within the standards development process, showing how protocol complexity and time to produce standards has increased. With these observations in mind, we develop statistical models to understand the factors that lead to successful uptake and deployment of protocols, deriving insights to improve the standardisation process.

CCS CONCEPTS

 \bullet Social and professional topics \to User characteristics; \bullet Networks \to Network protocol design.

KEYWORDS

Protocol standardisation, IETF, Request for Comments

ACM Reference Format

Stephen McQuistin, Mladen Karan, Prashant Khare, Colin Perkins, Gareth Tyson, Matthew Purver, Patrick Healey, Waleed Iqbal, Junaid Qadir, and Ignacio Castro. 2021. Characterising the IETF Through the Lens of RFC Deployment. In *ACM Internet Measurement Conference (IMC '21), November 2–4, 2021, Virtual Event, USA.* ACM, New York, NY, USA, 13 pages. https://doi.org/10.1145/3487552.3487821

1 INTRODUCTION

Protocol standards are crucial to the successful operation of the Internet. A successful standard provides a basis for interoperability

IMC '21, November 2–4, 2021, Virtual Event, USA © 2021 Association for Computing Machinery.

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between systems developed by competing vendors, and supports the growth of an open ecosystem of products and services. Further, the process by which network protocol standards are developed, comprising multiple rounds of open feedback and review, has proven remarkably effective in designing high-quality and robust protocols, many of which see widespread deployment and use. Understanding the Internet standards development process, and how it produces successful protocols is, therefore, important if we are to understand the Internet and how it has evolved.

One of the main organisations that develops protocol standards is the Internet Engineering Task Force (IETF). The IETF was founded in 1986, following on from the US Government-funded effort that developed the early Internet. It has since grown to become a global community of network protocol designers, vendors, network operators, and researchers that develop and publish open network protocol standards and operational guidelines. The IETF publishes its standards, and other documents, in the RFC series (https://www.rfc-editor.org). This series comprises around 9,000 documents, authored over 50 years, and provides a rich history of the development of the Internet and its protocols [9].

While the standardisation process, taken as a whole, has clearly been successful, there are many RFCs that do not see widespread deployment. Understanding the reasons for this is complex. The success or failure of a protocol specified in a particular RFC may depend on factors beyond its technical quality. Standardisation is an inherently social and political process [5, 15], requiring cooperation and consensus among a growing number of stakeholders. For example, in 2020, IETF contributors submitted 7,547 draft documents, sent 118,537 emails to 335 mailing lists, participated in 3 plenary meetings, 256 interim meetings, and produced 309 RFCs. However, while the process has evolved and scaled, it has also slowed, with each RFC taking on average 1,170 days from its first draft to publication in 2020, an increase from 469 days in 2001.

With this growing complexity in-mind, we argue that it is vital to gain a coherent understanding of the activities that take place within the IETF, as well as the key factors that may predict the success of a protocol standard.

Characterising the IETF Through the Lens of RFC Deployment

McQuistin et al. ACM Internet Measurement Conference (IMC) 2021 <u>https://doi.org/10.1145/3487552.3487821</u>

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The Web We Weave: Untangling the Social Graph of the IETF

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Abstract

The Internet Engineering Task Force (IETF) has developed many of the technical standards that underpin the Internet The standards development process followed by the IETF is open and consensus-driven, but is inherently both a social and political activity, and latent influential structures might exist within the community. Exploring and understanding these structures is essential to ensuring the IETF's resilience and openness. We use network analysis to explore the social graph of IETF participants, based on public email discussions and co-author relationships, and the influence of key contributors. We show that a small core of participants dominates: the top 10% contribute almost half (43.75%) of the emails and come from a relatively small group of organisations. On the other hand, we also find that influence has become relatively more decentralised with time. IETF participants also propose and work on drafts that are either adopted by a working group for further refinement or get rejected at an early stage. Using the social graph features combined with email text features, we perform regression analysis to understand the effect of user influence on the success of new work being adopted by the IETF. Our findings shed useful insights into the behavior of participants across time, correlation between influence and success in draft adoption, and the significance of affiliated organisations in the authorship of drafts.

1 Introduction

The global success of the Internet owes much to its open development process, a focus on permissionless innovation, and the ready interoperability enabled by its underpinning technical protocol standards. These standards support interworking between a diverse range of systems implemented by different vendors, and encourage the development of a vibrant, open, ecosystem. Given how crucial the Internet has become, it is, however, vital to understand *who* develops and maintains these standards, as they, and the companies they are affiliated with, have the power to fundamentally shape the Internet.

The technical standards that define the Internet are largely developed and maintained by the Internet Engineering Task Force (IETF). The IETF develops and maintains Internet protocols, including those for internetworking and transport

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(TCP/IP and QUIC), routing (BGP, MPLS), security (TLS), and application protocols such as HTTP and WebRTC. The IETF follows an open, consensus-driven process and does not have a formal membership, thereby posing few barriers to entry. The standards it develops are publicly available at no cost and, more importantly for our purposes, the IETF also makes available its email archives, working documents, meeting minutes, etc., providing transparent access to rich datasets that document decades of activities. This allows us to study the process by which the Internet protocols where developed in unprecedented detail. The IETF data provides a representative use case of large-scale, long-lived, distributed online collaboration, and since the dataset long pre-dates the COVID-19 pandemic by several decades, lets us to generate longitudinal insights and patterns pertaining to our research questions

Protocol standardisation is an inherently social process. Most day-to-day work happens on public mailing lists, aided by meetings, video conference calls, and open document and code repositories. We are specifically interested in better understanding how influence is distributed across stakeholders and how it might affect the standardisation process. This is of critical societal importance: the IETF has a major impact on global Internet technologies, and understanding the social processes involved would give us insight into not only the driving forces behind standardisation, but also its resilience to the loss of major participants. Thus, we ask the following *research questions*:

- (i) How centralised is the active IETF community, and to what extent is it reliant on a small core of participants?
- (ii) How do the most influential participants behave?(iii) How does influence (determined by mailing list partic-
- ipation) relate to wider impacts throughout the IETF?
- (iv) Does the organisational affiliation of participants also influence the innovation (adoption of new work) within IETF?

To answer these questions, we collect public mailing list archives (2000–2019) containing more than 2.1M messages from almost 45K senders. We then generate a social interaction graph from these public mailing lists (§2). We find that, akin to many prior social graph studies (Kourtellis et al. 2013; Weitzel, Quaresma, and de Oliveira 2012), influence

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