# Reinforcement Learning-based Congestion Control

A Systematic Evaluation of Efficiency and Fairness

Luca Giacomoni University of Sussex

## Challenges Faced by Congestion Control Protocols

Congestion Control Protocols are responsible for efficient and fair distribution of network resources. However, they face several challenges:

- **Dynamic Network Conditions**: The volatile nature of network parameters such as bandwidth, delay, and loss rate requires CC protocols to adapt in real time, which is a complex task.
- Adapting to New Technologies: Emerging technologies, such as 5G or IoT devices, pose new challenges requiring CC protocols to adapt and evolve.
- **Performance in Diverse Scenarios:** CC protocols must perform effectively in varied network scenarios, like data centers, mobile networks, satellite communications, etc.

# The Convergence of RL and CC

- RL-based CC optimises CC protocols by interacting with the environment and optimising a given reward function.
- Elements:
  - Reward function (throughput, delay, loss rate)
  - Observable network state space (delay variation, loss rates, throughput)
  - Action space (cwnd update value, transmission rate)
  - Learning algorithms (PPO, DDPG)



## The Current State-of-the-Art

- RL-based approaches have seen varied implementations
  - Numerous simulation-based implementations (ns-2, ns-3 models)
  - Growing number of real network stack implementations (UDT, Linux Kernel)



# The Real-World Viability of RL-CC (Ignoring Computational Complexity)

- Evaluation of methodologies of RL-CC approaches performance on real network stack present some limitations:
  - Single-flow efficiency focused
  - Black-box experimental setup difficult to interpret
  - Limited reproducibility

# **Challenges in Interpretation**

- Hidden parameters and black-box evaluation obstruct interpretability. For example:

- 1) Is the network buffer shallow? Does higher throughput necessarily indicates improved efficiency?
- 2) What leads Aurora to fill the buffer when Cubic doesn't?



## What can we do?

- Evaluation methodologies of CC offer a trade-off between **fidelity**, **flexibility**, **reproducibility** and **transparency** 
  - Simulations: ++Reproducibility, -Fidelity, ++Flexibility, ++Transparency
  - Emulation: +Reproducibility, -Fidelity, +Flexibility, +Transparency
  - "In the wild": -Reproducibility, +Fidelity, -Flexibility, Transparency
- Challenges exacerbated by the **black box** nature of **RL-CC** 
  - Decision making encoded into complex parametric functions (e.g. Neural Networks)

# **Objectives and Methodology**

To develop a transparent, flexible, and reproducible benchmark for RL-CC efficiency and fairness.

- Methodology: Use Mininet emulation-based evaluation framework
- Measurement Metrics: Goodput, Congestion window sizes, srtt value, Retransmissions, Link and system utilization
- Several control variables:
  - Base RTT of competing flows (intra/inter)
  - Buffer size at the bottleneck (20%,100%, 400% the BDP)
  - Bottleneck bandwidth (10Mbps to 100Mbps)
  - AQM (CoDel and FQ)
  - TCP Friendliness
- Transparency and reproducibility:
  - Kernel config (e.g. TCP Buffers, segmentation offload)
  - All experiments code, configuration and data **open sourced**

# Protocols Under Examination: Orca & Aurora

#### Orca

- <u>Hybrid approach</u>: trained agent acts on top of Cubic
- <u>Reward</u>: based on Power (Kleinrock's operational point)
- Control granularity: Coarse and fine
- Implementation: Linux kernel + application layer
- <u>Training</u>: on emulated environments

#### Aurora

- <u>Clean-slate approach</u>: decision making purely RL-based
- <u>Reward</u>: linear combination of throughput, delay and loss
- <u>Control granularity</u>: Coarse
- Implementation: UDT
- <u>Training</u>: on custom simulator

## Results

### Goodput vs Retransmissions

- For small buffers, Orca's aggressiveness can cause up to three orders of magnitude higher number of retransmissions than Cubic



## Intra RTT Fairness



Buffer size: 20% BDP

Buffer size: 100% BDP

Buffer size: 400% BDP

Goodput ratio for two competing flows in a dumbbell topology. Bottleneck capacity is 100Mbps, both flows experience the same base RTT (shown on x-axis), buffer capacity is set to 0.2x, 1x, and 4x the BDP.

### Under the hood



(a) RTT: 20ms, Buffer Size:  $0.2 \times BDP$ 

(b) RTT: 20ms, Buffer Size:  $1 \times BDP$ 

(c) RTT: 20ms, Buffer Size:  $4 \times$  BDP

## Backward compatibility



Goodput evolution for two competing flows (one being TCP Cubic) in a dumbbell topology. Bottleneck capacity is 100Mbps, both flows experience the same base RTT (100ms), buffer capacity is set to 0.2x, 1x, and 4x the BDP.

# Conclusion

- The complexities of evaluating RL-based CC algorithms have resulted in under-reporting limitations in existing work, particularly with regards to efficiency and fairness.
- We show this through a systematic study of existing RL-based CC proposals with transparency and reproducibility as key objectives.
- We devise a methodology and set of benchmark experiments tailored to examine efficiency and fairness
- We present empirical data analysing the performance of Orca and Aurora.

# Thank you!

## Segmentation Offloading



# Delay gain

